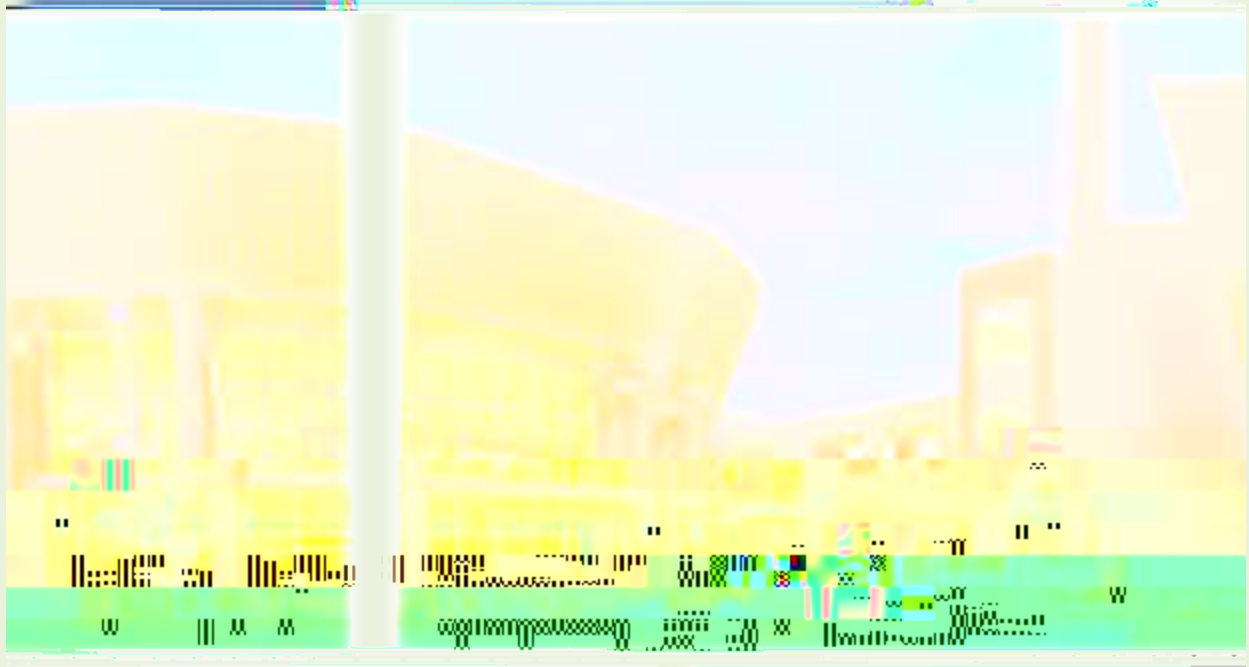




COMMUNITY COLLEGE



2020 - 2021 Student Handbook

505 Amherst Street, Nashua, NH 03063
(603) 578-8900, www.nashuacc.edu

2020-2021 Table

6HUYHV DV DQ HQWU\ SRLQW IRU EDFKHORU GHJUHH SURJUDPV
IRXU \HDU SURJUDP
3URYLGHV HFRQRPLF GHYHORSPPHQW DQG FRQWLQXLQJ HGXFDW
EXVLQHVV LQGXVWU\ DQG JRYHUQPPHQW
&ROODERUDWHV ZLWK YLVXDO DQG SHUIRUPLQJ DUWV RUJDQLJ
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\$XJXVW 7XLWLRQ 'XH IRU)DOO 6HPHVWHU
\$XJXVW)DOO 6HPHVWHU &ODVVHV %HJLQ
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6HSWHPEHU /DVW 'D\ a%a \$GG6)POVWHHPHVWHU &ODVVHV
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678'(17 &\$/(1'\$5

6SULQJ 6HPHVWHU -DQXDU\ ± 0D\
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-DQXDU\ 7XLWLRQ 'XH IRU 6SULQJ 6HPHVWHU
-DQXDU\ :LQY@DU 6HVVLQR %HJLQV

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)XOO 7\$PHWXGHQW ZKR LV UHJLVWHUHG IRU DW OHDVW FUHGLWV
FUHGLWV DV SDUW RI D VWXGHQW V SURJUDP RI VWXG\ ZLOO EH F
HOLJLELW\

3DUW 7\$PHWXGHQW ZKR LV UHJLVWHUHG IRU IHZHU WKDQ FUHGLW

0DWULFXODVWXGHQW ZKR DSSOLHV WR DQG LV RIILFLDOO\ DFFHSWH
VDLG WR EH PDWULFXODWHG 7KH VWDWXV UHPDLQV XQWLO WKH V
RU FROOHJH RU LV GLVPLVVHG IRU DFDGHPLF RU GLVFLSOLQDU\ U
GHILQHV D VWXGHQW V SURJUDP RI VWXG\ DQG HQVXUHV WKDW FR
UHTXLUHPHQWV

1RQ 0DWULFXODVWXGHQW ZKR LV HQUROOHG LQ D FRXUVH RU FRXUV
DFFHSWHG LQWR D &ROOHJH SURJUDP \$ VWXGHQW ZKR KDV WDNH
ZRUN IRU D GHJUHH VKRXOG FRPPLW WR D VSHFLILF SURJUDP DQG
FRXQVHOLQJ SULRU WR WKH VDWLVIDFWRU\ FRPSOHWLRQ RI VHP
WR HQVXUH WKDW FUHGLWV HDUQH PHHW SURJUDP RI VWXG\ UHT
HDUO\ DV SRVVLEOH

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&ROOHJH RU KDV QRW UHJLVWHUHG IRU FODVVHV ZLWKLQ D JLYHQ
WKUHH VHPHVWHUV ZLOO UHPDLQ DFWLYH DQG HOLJLEOH WR UHJL
VWXG\ \$OO RWKHUV PXVW UHSSO\ WR WKH SURJUDP FROOHJH DQ
VWXG\ 0DWULFXODWHG VWXGHQWV ZKR KDYH QRW UHJLVWHUHG IR
DXWRPDWLFDOO\ ZLWKGUDZQ IURP WKH &ROOHJH

&UHGLW +RXU *XLGHOLQHV

\$ FUHGLW KRXU VKDOO EH WKH HTXLYDOHQW RI RQH KRXU RI
DQG D PLQLPXP RI WZR KRXUV RI RXW RI FODVV VWXGHQW ZRUN HI

E\ IDFXOW\ VSHFLILF GDLO\ ZRUN DFWLYLWLHV DUH DVVLJQH

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*HW &RQQHFWHG

1DVKXD &RPPXQLW\ &ROOHJH LV FRPPLWWHG WR XVLQJ DYDLODEO
PHPEHUV RI RXU OHDUQLQJ FRPPXQLW\ :H KDYH FRPH WR UHO\ RO
FRQYHQLHQFH VSHHG DQG UHOLDELOLW\ LW SURYLGHV 7KH &RO
6\WHP RI 1HZ +DPSVKLUH SURYLGHV VXSSRUW WR KHOS VWXGHQ
IHOORZ VWXGHQWV DQG WKH VWDII RI WKH &ROOHJH

\$ *XLGH WR \$FFHVVLQJ \RXU 6WXGHQW \$FF

2EWDLQ \RXU (DV\ORJL
&UHDWH D 3DVVZF

8SRQ DFFHSWDQFH WR WKH
IRU FODVV V R^PORDUB%á y,°Â Fo P

6WXGHQW ,QIRUPDWLRQ 6\WHP 6,6

2QOLQH UHJLVWUDWLRQ LV DYDLODEOH DW VFKHGXOHG WLPHV IRU
0DWULFXODWHG VWXGHQWV UHJLVWHULQJ IRU FODVVHV IRU WKH
6SHFLDOLVW DQG PHHW ZLWK WKHLU DFDGHPLF RU IDFXOW\ DGYL
HQVXUH WKDW VWXGHQWV PHHW FRXUVH SUHUHTXLVLWHV DQG FR
PDQQHU 2QOLQH UHJLVWUDWLRQ LV QRW DYDLODEOH WR QRQ PD

\$GG 'URS &RXUVH V

(DFK VWXGHQW LV H[SHFWHG WR DWWHQG FODVV RQ KLV KHU RZQ
EHWZHHQ VWXGHQW QDPH RQ WKH URVWHU VWXGHQW UHJLVWHU
WKDW FRXOG EH VSHFLILFDOO\ DXWKRULJHG E\ D UHDVRQDEOH DF
/DQJXDJH LQWHUSUHWHUV DQG SHUVRQDO FDUH DWWHQGDQWV 3
DQLPDOV PD\ DOVR EH OLVWHG LQ D 5\$3 \$LGHV IRU DFDGHPLF VX
FODVVURRP EXW FDQQRW DWWHQG FODVV ZLWK WKH VWXGHQW

\$FFXSODFHU

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'LVDELWLHV 6HUFLHV &RRUGLQDWRU DQG WKH OLEUDU\ VWDII

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UHODWHG FROOHJH SUDFWLFHV +RXUV RI RSHUDWLRQ DUH SRVWH
LQIRUPDWLRQ DQG D FDOHQGDU RI DFWLYLWLHV FDQ EH IRXQG DW
DGYLVLQJ FHQWHU 6WXGHQWV FDQ ILQG WKH QDPH RI WKHLU DV
,QIRUPDWLRQ 6\ VWHP 6,6 ,Q VRPH FDVHV VWXGHQWV ZLOO EH D
DGYLVLQJ FHQWHU LV ORFDWHG LQ URRP

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7UDQVIHU RI &UHGLW

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,W LV WKH VWXGHQW\ UHVSQVLELOLW\ WR IXUQLVK WKH IROORZ
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WUDQVIHU

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WKLW ZULWWHQ DSSURYDO SULRU WR HQUROOPHQW LQ WKH FRXUV
RI WKLW FRXUVH DV WUDQVIHU FUHGLW *UDGHV RI FRXUVHV WUDC
&*3\$ \$SSURYHG &UHGLWV HDUQHG DW DQRWKHU LQVWLWXWLRQ Z
DFFXPXODWHG IRU JUDGXDWLRQ

2QOLQH /HDUQLQJ 7UDQVIHU RI &UHGLW

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WKH H[LVWLQJ &ROOHJH SROLFLHV IRU WUDQVIHU RI FUHGLWV 6W
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SULRU WR WDNLQJ DQ RQOLQH FRXUVH WR EH DVVXUHG WKDW WK
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WUDQVFULSW EH VHQW WR WKHLU KRPH FDPSXV VR WKDW D WUDQ
QRW EH DXWRPDWLFDOO\ WUDQVIHUHG XQOHVV WKH VWXGHQW L
VWXGHQWV ZLOO KDYH DQ RIILFLDO WUDQVFULSW DW WKH KRWW F
VKRXOG IROORZ WKH FROOHJH SROLF\ IRU UHTXHVWLQJ WUDQVIHU
UHFRRPPHQGHG WKDW QRQ PDWULFXODWHG VWXGHQWV LQWHUHV
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DSSOLHG WRZDUGV D VSHFLILF GHJUHH RU FHUWLILFDWH

\$OWHUQDWH :D\ WR (DUQ &UHGLW

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SROLF\ VWDWLQJ WKH VSHFLILF H[DP VFRUHV IRU HDFK VXEMHFW
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6VWXGHQWV PD\ FKRRVH WR HDUQ FUHGLWV E\ WDNLQJ D QDWLRQD
&/(3 &ROOHJH /HYHO ([DPLQDWLRQ 3URJUDP 1DVKXD &RPPXQLW\
WHVWLQJ VLWH IRU &/(3 7KH FROOHJH DZDUGV @HFLILF HV D"%PP

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FRPSOHWLQJ DQ H[DPLQDWLRQ RU HYDOXDWRQ WKDW FRYHUV WK

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UHTXHVWLQJ D &%(VKDOO SD\ D IHH RI SHU FUHGLW 7KLV IHH
JLYHQ IRU JUDGHV EHORZ & 6WXGHQWV UHFHLYLQJ D JUDGH EHO
FRXUVH 6WXGHQWV PD\ QRW &%(D FRXUVH LQ ZKLFK WKH\ DUH H
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FXUULFXOXP %DUULQJ H[FHSWLRQDO FLUFXPVWDQFHV D 'LUHFWH
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GLVFXVV WKH OHDYH DQG DQ\ SRWHQWLDO LPSOLFDWLRQV IRU FKI
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KWS ZZZ PLFKHOHVODZ FRP IRU LPSRUWDQW LQIRUPDWLRQ
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- " 3URYLGH D OHWWHU WR WKH 9LFH 3UHVVLGHQW RI \$FDGHPLF \$I
SURJUDP RI VWXG\ WKH PHGLFDO UHVRQ IRU WKH UHTXHVW
OHDYH ZRXOG EHJLQ DQG WKH SURSRVHG GDWH RI UHGGPLVV
- " 3URYLGH WKH 93\$\$ GRFXPHQWDWLRQ RI WKH PHGLFDO FRQGLV
SURIHVVLRQDO GLUHFWO\ LQYROYHG LQ WKH WUHDWPHQW RI V
VXIILFLHQWO\ FRPSUHKHQVLYH WR IDFLOLWDWH WKH GHFLVLR

7KH 93\$\$ RU GHVLJQHH ZLOO PDNH D GHWHUPLQDWLRQ UHJDUGLQJ
UHTXHVW
DQG QRWLI\ WKH VWXGHQW LQ ZULWLQJ ZKHWKHU WKH UHTXHVW IR
DQG ZKDW FRQGLWLRQV IRU UHGGPLVVLRQ PD\ DSSO\ 6WXGHQWV
JUDQWHG ZLOO EH UHTXLUH WR UHSSO\ IRU DGPLVVLRQ DW WKH
FRQGLWLRQV IRU UHGGPLVVLRQ KDYH EHHQ PHW

&RQGLWLRQV IRU UHGGPLVVLRQ PD\ LQFOXGH EXW DUH QRW OLPI
D OLFHQVHG KHDOWKFDUH SURIHVVLRQDO GLUHFWO\ LQYROYHG L
FRQGLWLRQ WKDW LV VXIILFLHQWO\ FRPSUHKHQVLYH WR SURYLGH
VWXGHQW ZLOO EH DEOH WR PHHW DOO FROOHJH DQG SURJUDP DI
UHTXLUHPHQWV 2WKHU FRQGLWLRQV IRU UHGGPLVVLRQ PD\ LQFO
93\$\$ DQG RU WKH VWXGHQW¶V SURJUDP 'HSDUWPHQW +HDG FRPS
FULWHULD LPSOHPHQWHG LQ WKH VWXGHQW¶V DEVHGFH IROORZL
EHHQ LPSOHPHQWHG LQ WKH VWXGHQW¶V DEVHGFH DQG RU UHSH
WR HQVXUH FOLQLFDO FRPSHWHQFH IROORZLQJ DQ H[WHQG HG DEV

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WKDW LQIRUPDWLRQ WKH\ YROXQWDULO\ GLVFORVH GXULQJ WKH D
KDQGOHG XQGHU FRQILGHQWLDOLW\ JXLGHOLQHV RI WKH)DPLO\ (

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: 6WXGHQW LQLWLDWHG ZLWKGUDZDO IURP D FRXUVH DW DQ\ WLP
RI WKH FRXUVH 'RHV QRW DIIHFW *3\$ &DQ EH LQLWLDWHG E\
RI H[WHQXDWLQJ FLUFXPVWDQFHV LV XQDEOH WR LQLWLDWH WKH
RU LQMXU\ MRE WUDQVIHU WR DQRWKHU VWDWH

:3 6WXGHQW LQLWLDWHG ZLWKGUDZDO IURP D FRXUVH DIWHU WKH
VWXGHQW KDV D SDVVLQJ JUDGH DW WLPH RI GURS DV GHWHUPLQ
*3\$ &DQ EH LQLWLDWHG E\ WKH LQVWUXFWRU LI WKH VWXGHQW E
XQDEOH WR LQLWLDWH WKH SURFHVV H J FDWDVWURSKLF LOOQI

:) 6WXGHQW LQLWLDWHG ZLWKGUDZDO IURP D FRXUVH DIWHU WKH
KDV D IDLOLQJ JUDGH DW WLPH RI GURS DV GHWHUPLQH E\ WKH

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SHUIRUPDQFH H J IDLOXUH WR PHHW DWWHQGDFH UHTXLUHPHQ
YLRODWLRQ RI WKH 6WXGHQW &RGH RI &RQGXFW GLVUXSWLYH EH
D VWXGHQW UHJLVWHUHG LQ D FOLQLF SUDFWLFXP LQWHUQVKLS
XQVDWLVIDFWRU\ PDQQHU DV GHWHUPLQH E\ DQ HYDOXDWRQ E\
DFFRUGDQFH ZLWK GHSDUWPHQW FULWHULD DQG SURFHGXUH 7KH

\$8 \$ FRXUVH WDNHQ DV DQ DXGLW GRHV QRW HDUQ FUHGLW DQG F
UHTXLUHPHQWV \$GPLVLRQ E\ SHUPLVLRQ RI WKH LQVWUXFWRU
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7RWDO SRLQW FUHGLWV

GLYLGHG E\ WRWDO FUHGLW KRXUV

&XPXODWLYH *UDGH 3RLQW \$YHUDJH &*3\$
7KH &*3\$ WDNHV LQWR DFFRXQW DOO FRXUVH ZRUN WDNHQ DW 1&&
FRXDIV, Ha%á7y, Â F& P3&U0F&R3%@Â-Q V' OD OO À, °p0Y@G H7' È HP&@-E0& 3 V D G H33%R% @Gò O

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*UDGH \$SSHDO *UDGH &KDQJH

\$FDGHPLF :DUQLQJ

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ZDUQLQJ E\ WKH LQVWUXFWRU

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SURJUHVV)DLOXUH WR PHHW VDWLVIDFWRU\ SURJUHVV ZLOO UHV
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\$FDGHPLF 3UREDWLRQ

6WXGHQWV ZLWK D *3\$ RI RU EHORZ DW WKH HQG RI WKHLU ILU
RQ \$FDGHPLF 3UREDWLRQ \$3 6WXGHQWV PXVW HDUQ D &*3\$ R
VHFRQG VHPHVWHU RI DWWHQGDQFH LQ RUGHU WR FRPH RII \$FDG
VWDWXV VWXGHQWV PXVW FRQWDFW WKH \$FDGHPLF \$GYLVLQJ &H
DFDGHPLF VXFFHVV

\$FDGHPLF 3UREDWLRQ

\$ ZDUQLQJ ZKLFK LQGLFDWHV WKH VWXGHQW PD\ QRW EH RQ WUD
DFDGHPLF SHUIRUPDQFH 6WXGHQWV PXVW ZRUN ZLWK DQ \$GYLVR
VWXGHQW PD\ UHPDLQ LQ WKH SURJUDP EXW KLV KHU DFDGHPLF

6WXGHQWV PHHWLQJ WKH FULWHULD EHORZ ZLOO EH SODFHG RQ \$

- ± &UHGLWV \$FFXPXODWHORZ &*3\$
- ± &UHGLWV \$FFXPXODWHORZ &*3\$
- ± &UHGLWV \$FFXPXODWHORZ &*3\$
- &UHGLWV \$FFXPXODWHORZ &*3\$

" 5HPRYDO IURP DFDGHPLF VXVSHQVLRQ RU DFDGHPLF SUREDWR
" \$SSHDO 3URFHGXUH

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WR PHHW ZLWK DQ DFDGHPLF DGYLVRU WR FUHDWH DQ DFDGHPLF
UHJXODU EDVLV

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SODFHG RQ \$FDGHPLF 3UREDWRQ , IRU RQH VPHVWHU

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QRWLILFDWLRQ OHWWHU 7KH DSSHDO PXVW FOHDUO\ VWDWH WKH
KDYH DQ RSSRUWXQLW\ WR SUHVHQW WKHLU FDVH LQ SHUVRQ \$ Z
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GHILQH DERYH

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VWXGHQW\ SUHYLRXV WLPH DW WKH ,QVWLWXWH &ROOHJH ZLOO
DSSURSULDWH VXEMHFW WR WKH DSSURYDO RI WKH 9LFH 3UHVLC
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,Q RUGHU WR EH HOLJLEOH IRU \$FDGHPLF \$PQHVV\ D VWXGHQW P

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DIWHU UHGGPLVLRQ

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" 7KH VWXGHQW DFKLHYHG D FXPXODWLYH *3\$ EHORZ GXULQ

*UDGXDWLRQ

*UDGXDWLRQ 5HTXLUHPHQWV

7R JUDGDWH D VWXGHQW PXVW FRPSOHWH DOO FRXUVHV DQG DV
€&*3\$ RI DW OHD JU @0 G4 HTHWLYÀDSS\$PUXLUHPHQWV

XPXVHV DQG UHFVVRPSOV

" &HUWLILFDWH VWXGHQWV PXVW HDUQ FUHGLWV RU RI WK

\$GGLWLRQDO \$VVRFLDWH 'HJUHHV
6WXGHQWV PD\ HDUQ DGGLWLRQDO DVVRFLDWH GHJUHHV HLWKHU
UHTXLUHPHQWV RI WKH VHYHUDO GHJUHHV RU E\ VXEVTXHQW VW
7KH UHTXLUHPHQWV IRU HDUQLQJ DGGLWLRQDO GHJUHHV DUH DV

ODWH DGPLWV ILQDQFLDO KDUGVKLS REVWDFOH WR GLVEXUVLQJ

7KH GHSRVLW ZLOO EH DSSOLHG WR WKH WXLWLRQ IRU WKH VHPH
VWXGHQWV PDWULFXODWLRQ DQG ZLOO QRW EH UHIXQGHG HYHQ
XGÂFoP uE" G'P0

\$FDGHPLF ,QVWUXFWLRQ)HH

\$ IHH ZLOO EH FKDUJHG IRU DOO /DERUDWRU\ 3UDFWLFXP RU RWK
FDOFXODWHG E\ VXEWUDFWLQJ WKH QXPEHU RI OHFWXUH KRXUV I
PXOWLSO\LQJ WKH UHPDLQGHU E\ IRU HDFK FRXUVH 7KLV IHH
FKDUJH IRU WKDW FRXUVH 7KH IHH ZLOO EH FKDUJHG WR DOO VW
&ROOHJH &DWDORJ IRU PRUH VSHFLILF LQIRUPDWLRQ RQ WXLWLRO

([DPSOH			&/	/\$%	&5
	6&,1	\$ 3 ,			

6WXGHQWV VKDOO EH FRQVLGHUHG LPPXQH WR PXPSV LQVWHDG

D +DG GLVHDTVH FRQILUPHG E\ DQ RIILFH UHFRUG

E %HHQ LPPXQLJHG ZLWK RQH GRVH RI YDFFLQH DIWHU PRQ

F %HHQ VXEMHFW WR WKH PRUH VWULQJHQW UHTXLUHPHQWV

6WXGHQWV VKDOO EH FRQVLGHUHG LPPXQH WR UXEHOOD LQVWH

\$GGLWLRQDO ,PPXQLJDWLRQ 5HFRPPHQGDWLRQV
,W LV UHFRPPHQGHG WKDW DOO UHJLVWHUHG VWXGHQWV ZLOO KD
LPPXQLJDWLRQV DJDLQVW FKLOGKRRG GLVHDVHV LQFOXGLQJ PXP
DFFRUGDQFH ZLWK WKH FXUUHQWO\ DFFHSWHG LPPXQLJDWLRQ VF
3XEOLF +HDOWK 6HUylFHV

'HWHUPLQDWLRQ RI ,Q VWDWH 6WDWXV DQG &KDQJH RI 6WDWH
\$ VWXGHQW ZLOO EH FODVVLILHG DV LQ VWDWH RU RXW RI VWDWH
7KH \$GPLVLRQV 2IILFH ZLOO PDNH WKH GHFLVLRQ EDVHG RQ LQI
DSSOLFDWLRQ DQG RWKHU UHOHYDQW DQG DYDLODEOH LQIRUPDW
PXVW KDYH EHHQ GRPLFLOHG LQ 1HZ +DPSVKLUH FRQWLQXRXVO\
WR UHJLVWUDWLRQ IRU WKH WHUP IRU ZKLFK LQ VWDWH VWDWXV
RI WKH 1&& FDPSXV DOVR TXDOLI\ IRU LQ VWDWH WXLWLRQ

\$ PHPEHU RI WKH DFWLYH GXW\ 8 6 \$UPHG)RUFHV VWDWLRQHGL
VWDWLRQHGL LQ D FRQWLJXRXV VWDWH EXW WHPSRUDULO\ OLYLQJ
FODVVLILFDWLRQ IRU KLPVHOI KHUVHOI VSRXVH DQG GHSHQGHQW
SXUSRVHV VR ORQJ DV VDLG RUGHUV UHPDLQ LQ HIIHFW DQG UHV
PLOWDU\ SHUVRQQHO ZKR DUH UHVLGHQWV RI DQRWKHU VWDWH
ZLWKLQ GD\ RI EHLQJ GLVFKDUJHG IURP WKH PLOWDU\ ZLOO E
LQ VWDWH WXLWLRQ 5HIHU WR WKH 9HWHUDQV \$VVLVWDQFH 6H
KWWS ZZZ QDVKXDFH HGX VWXGHQW VHUylFHV YHWHUDQ DVVLV

5HVLGHQWV RI WKH ILYH 1HZ (QJODQG VWDWHV DUH HOLJLEOH WR
RI WKH RXW RI VWDWH ZKHQ HQUROOHG LQ DVVRFLDWH GHJUHH S
(QJODQG 5HJLRQDO 6WXGHQW 3URJUDP 1(563 7KH &ROOHJH DOO
LQFOXGH DOO DVVRFLDWH GHJUHH DQG FHUWLILFDWH SURJUDPV

,QWHUQDWLRQDO 6WXGHQWV DUH QRW HOLJLEOH IRU LQ VWDWH R
GD\ FRXUVHV DW DQ\ WLPH ZKLOH HQUROOHG DW 1&&

\$Q\ VWXGHQW ZKR KDV RQ KLV KHU ILUVW DGPLVLRQ WR WKH &RO
5HJLRQDO RU RXW RI VWDWH IRU WXLWLRQ SXUSRVHV PD\ DSSO\ W
VWDWXV RQ RU EHIRUH 6HSHPEHU IRU WKH VXEVHTXHQW IDOO VH
VXEVHTXHQW VSULQJ VPHVWHU DQG RQ RU EHIRUH -XQH IRU W

\$Q\ VWXGHQW ZKR LV DJJULHYHG E\ WKH GHFLVLRQ RI WKH \$GPLV
WR WKH 9LFH 3UHVLGHQW RI 6WXGHQW DQG &RPPXQLW\ \$IIDLUV ,
LQ VWDWH VWDWXV IRU WXLWLRQ SXUSRVHV WKH EXUGHQ RI SUR

)LQDQFLDO \$LG

3OHDVH UHYLHZ \RXU ULJKWV DQG UHVSQRVLELOLWLHV DV D ILQD
6WXGHQW)LQDQFLDO \$LG +DQGERRN DW KWWSV QDVKXDFH HGX

:KDW ,V)LQDQFLDO \$LG"
)LQDQFLDO DLG FRQVLVWV RI JUDQWV VFKRODUVKLSV ORDQV DG
JRYHUQPHQW WKH VWDWH JRYHUQPHQW WKH FROOHJH RU D QRQ
DVVLVWV VWXGHQWV DQG WKHLU IDPLOLHV LQ SD\LQJ IRU FROOHJ

ZKLFK LQFOXGH EXW DUH QRW OLPLWHG WR ERNV VXSSOLHV DQ
ILQDQFLDO DLG DZDUG PD\ LQFOXGH D FRPELQDWLRQ RI YDULRXV

:KR ,V (OLJLEOH IRU)LQDQFLDO \$LG"
7R UHFHLYH IHGHUDO VWXGHQW DLG D VWXGHQW PXVW PHHW EDV
KWWSV VWXGHQWDLG JRY XQGHUVWDQG DLG HOLJLELWLW\ EDVLF

4XDOLW\ WR REWDLQ D FROOHJH HGXFDWLRQ HLWKHU E\ KDYLQJ
'HYHORSPHQW *(' FHUWLILFDWH RU E\ FRPSOHWLQJ D KLJK VFK
DSSURYHG XQGHU VWDWH ODZ RU²LI VWDWH ODZ GRHV QRW UHT
FRPSOHWLRQ FUHGHQWLDQ²FRPSOHWLQJ D KLJK VFKRRO HGXFDW
DQ H[HPSWLRQ IURP FRPSXOVRU\ DWWHQGDQFH UHTXLUHPHQWV)
FDUHHU SDWKZD\ SURJUDP DQG PHHWLQJ RQH RI WKH DELOLW\ V
KWWSV VWXGHQWDLG JRY XQGHUVWDQG DLG HOLJLELWLW\ EDV

\$1'

%H D 8 6 &LWLJHQ RU 8 6 1DWLRQDO IRU UHTXLUHPHQWV WR EH
KWWSV ZZZ XVFLV JRY XV FLWLJHQVKLS

\$1'

%H HQUROOHG RU DFFHSWHG IRU HQUROOPHQW DV D UHJXODU
SURJUDP

\$1'

%H UHJLVWHUHG ZLWK 6HOHFWLYH 6HUFLFH LI \RX DUH D PDOH
DQG

\$1'

+DYH D YDOLG 6RFLDO 6HFXULW\ QXPEHU XQOHVV \RX DUH IURP V
)HGHUWHG 6WDWHV RI 0LFURQHVLG RU WKH 5HSXEOLF RI 3DOD

\$1'

6LJQ FHUWLW\LQJ VWDWHPHQWV RQ WKH)UH)6\$SROLF VWDWH RRU
\RX DUH QRW LQ GHIDXOW RQ D IHGHUDO VWXGHQW ORDQ DQG GI
ZLOO XVH IHGHUDO VWXGHQW DLG RQO\ IRU HGXFDWLRQDO SXUS

\$1'

<RX DUH QRW LQ GHIDXOW RQ D IHGHUDO VWXGHQW ORDQ DQG

\$1'

0DLQWDLQ VDWLVIDFWRU\ DFDGHPLF SURJUHVV LQ FROOHJH RU

\$1'

1RW KDYH DLG HOLJLELOLW\ VXVSHQGHG RU WHUPLQDWHG GXH W
UHFHLYLQJ 7LWOH ,9 DVVLVWDQFH

\$1'

1RW EH UHFHLYLQJ IHGHUDO RU VWDWH ILQDQFLDO DLG IURP DQR

,Q DGGLWLRQ

- ‡ 6WXGHQWV PXVW EH DWWHQGLQJ D PLQLPXP RI VL[HOLJLEO
FRXUVHV 7UDQVIHU &UHGLWV &UHGLW E\ ([DP ([SHULHQWLD
FRXUVHV GR QRW FRXQW WRZDUGV HOLJLELOLW\
- ‡ 6WXGHQWV PXVW QRW EH UHFHLYLQJ IHGHUDO RU VWDWH ILQ
HQUROOPHQW SHULRG
- ‡ 6WXGHQWV ZLWK SULRU EDFFDODXUHDWHV DUH QRW HOLJLEO
- ‡)HGHUDO ORDQV PXVW EH SURUDWHG IRU FHUWLILFDWH SURJ
- ‡)LQDQFLDO DLG PXVW EH SURUDWHG IRU SURJUDPV WKDW DUH
- ‡ \$ &RQVRUWLXP \$JUHHPHQW LV UHTXLUHG ZKHQ D VWXGHQW L
DQG ZLVKHV WR XVH ILQDQFLDO DLG DW ERWK FROOHJHV
- ‡ 3HOO HOLJLEOH VWXGHQWV PXVW EH UHJLVWHUHG IRU DQ\ FR
WKH VHPHVWHU SULRU WR WKH SULPDU\ FHQVXV GDWH ZLWKL

+RZ DQG :KHQ WR \$SSO\ IRU)HGHUDO 6WXGHQW \$LG
6WXGHQWV PXVW FRPSOHWH WKH)\$)6\$)UHH \$SSOLFDWLRQ IRU)
KWWSV VWXGHQWDLG JRY K DSSO\ IRU DLG IDIVD WR DSSO\ IRU
2FWREHU VW RI HDFK \HDU DQG PXVW EH FRPSOHWHG DQQXDOO\
FROOHJH 6WXGHQWV DWWHQGLQJ 6XPPHU)DOO DQG 6SU
)\$)6\$
6WXGHQWV PXVW DOVR FRPSOHWH WKH DSSURSULDWH ILQDQFLDO
\$LG 2IILFH 6RPH DLG LV OLPLWHG DQG LV RQ D ILUVW FRPH ILU
HDUO\ ZLOO UHFHLYH SULRULW\

7R KHOS \RX LQ WKH DSSOLFDWLRQ SURFHVV SOHDVH VHH WKH)L
‡ &RPSOHWH WKH)\$)6\$ DQG VXEPLW DOO YHULILFDWLRQ GRFX

WKH

- 1&& 3ULRULW\ 'HDGOLQH VHH WKH 1&& 3ULRULW\ 'HDGOLQH V
- ‡ <RX FDQ VWLOO DSSO\ IRU ILQDQFLDO DLG DIWHU WKH 1&& 3
\RXU ILQDQFLDO DLG ZLOO EH DYDLODEOH E\ SD\PHQW GHDG
- ‡ 5HYLHZ WKH 6WXGHQW \$LG 5HSRUW 6\$5 VHQW WR \RX E\ W
DGGLWLRQDO VWHSV DUH UHTXLUHG DQG WR FRQILUP \RXU)
- ‡ 0DNH VXUH \RX KDYH FRPSOHWHG WKH 1&& \$GPLVVRQV SUR
DLG HOLJLEOH SURJUDP 1&& \$GPLVVRQV 2IILFH QDVKXD#F
UHFHLYH \RXU)\$)6\$ WR GD\ DIWHU LW KDV EHHQ SURFH
- ‡ 5HVSRRG WR DOO FRUUHVSRRGGHGFH IURP 1&&)LQDQFLDO \$L
UHTXHVWHG)LQDQFLDO DLG IRUPV DUH DYDLODEOH RQ WK
- ‡ 5HFHLYH D)LQDQFLDO \$LG 2IIHU OLVWLQJ WKH DLG \RX DUH

,I \RX DFFHSW 'LUHFW /RDQV WKHQ \RX PXVW
‡ %H UHJLVWHUHG IRU VL[HOLJLEOH FUHGLWV PLQLPXP D

3HUVRQDO ([SH	
7RWDO 6DP SOH \$WWHQGDQFH	

1RWH \$ VWXGHQW¶V &2\$ PXVW EH UHYLHZHG HDFK VHPHVWHU 6W
 DFFRUGLQJ WR WKH QXPEHU RI FUHGLWV IRU ZKLFK WKH VW

- ")XOO WLPH RU PRUH FUHGLWV LQ D VHPHVWHU
- " ò WLPH FUHGLWV LQ D VHPHVWHU
- " ò WLPH FUHGLWV LQ D VHPHVWHU
- " /HVW WKDQ ò WLPH /HVW WKDQ FUHGLWV LQ D VHPHVWHU

+RZHYHU LI D VWXGHQW LV HQUROOHG IRU OHVV WKDQ KDOI WLP
 DGMXVWHG IRU WKDW VHPHVWHU WR H[FOXGH 3HUVRQDO ([SHQVH

<RXU ([SHFWHG)DPLO\ &RQWULEXWLRQ ()& UHIOHFWV \RXU DQ
 VWXGHQW \RXU IDPLO\¶V DELOLW\ WR FRQWULEXWH WR WKH &R
 GHWHUPLQH WKH ()& DUH EDVHG RQ WKH 8QLWHG 6WDWHV 'HSDU
 NQRZQ DV WKH IHGHUDO PHWKRGRORJ\ 7KH 'HSDUWPHQW RI (GX
 LQIRUPDWLRQ \RX KDYH SURYLGHG RQ WKH)\$)6\$ DSSOLFDWLRQ D
)DPLO\ &RQWULEXWLRQ <RXU)LQDQFLDO 1HHG DQG WKH DYDLOD
 RIIHU

2IIHULQJ RI \$LG

9HULILFDWLRQ

\$OO VWXGHQWV DUH VXEMHFW WR 9HULILFDWLRQ 6WXGHQWV ZK
 KDYH EHHQ VHOHFWHG IRU YHULILFDWLRQ ZLOO EH UHTXLUHG W
 WKH YHULILFDWLRQ SURFHVV 6WXGHQWV ZLOO EH QRWLILHG E\
 GRFXPHQDWLRQ LV UHTXLUHG 7KH GRFXPHQDWLRQ PD\ LQFO

± 9HULILFDWLRQ :RUNVKHHW

)HGHUDO 7D[5HWXUQ 7UDQVFULSWV IRU WKH DSSOLFDQW

)HGHUDO 7D[5HWXUQ 7UDQVFULSWV RI WKH DSSOLFDQW
 GHSHQGHW IRU ILQDQFLDO DLG SXUSRVHV

,56 6WDWHPHQW RI 1RQILOLQJ

: ¶V

± 9HULILFDWLRQ RI ,GHQLW\ 6WDWHPHQW RI (GXFD

3URRI RI +LJK 6FKRRO FRPSOHWLRQ RU LWV HTXLYDOHQW

\$SSOLFDQWV VKRXOG EH DZDUH WKDW XQWLO DOO UHTXLUHG G
 E\ WKH)LQDQFLDO \$LG 2IILFH QR IHGHUDO VWXGHQW DLG ZLOO
 GRFXPHQDWLRQ PXVW EH VXEPLWWHG ZLWKLQ IRXUWHHQ GD\ V
 \HDU ZKLFKHYHU FRPHV ILUVW ,I GRFXPHQDWLRQ YHULILHV W
 DSSOLFDWLRQ ILQDQFLDO DLG ZLOO EH RIIHUHG DQG GLVEXUVH
 DWWHQGDQFH FDQ EH FRQILUPHG ,I WKH GRFXPHQDWLRQ LQG

FRUUHFWLRQV ZLOO EH VXEPLWWHG HOHFWURQLFDOO\ WR WKH
2IILFH 7KH VWXGHQW ZLOO UHFHLYH D UHYLVHG 6WXGHQW \$LG

\$YDLODEOH \$LG

3HOO *UDQW

\$OO VWXGHQWV DUH ILUVW FRQVLGHUHG IRU WKH)HGHUDO 3HO
QHHG EDVHG JUDQWV WR ORZ LQFRPH VWXGHQWV)HGHUDO 3HO
XQGHUJUDGXDWH VWXGHQWV ZKR KDYH QRW HDUQHG D EDFKHOF
WKH DFWXDO FRVWV RI DWWHQGLQJ FROOHJH \$)HGHUDO 3HOO
\$PRXQWV FDQ FKDQJH \HDO\ 7KH DPRXQWV IRU UDQJH
VWXGHQW UHFHLYHV GHSHQGV RQ WKH VWXGHQW¶V H[SHFWHG I
HQUROOPHQW OHYHO DQG ZKHWKHU WKH VWXGHQW DWWHQGV I
VLWXDWLRQV DQ HOLJLEOH VWXGHQW FDQ UHFHLYH XS WR D
\HDU 1&& FUHGLWV WKH)HGHUDO 3HOO *UDQW IXQGV WR WKH V
HOLJLEOH VPHVWHU 6WXGHQWV PD\ QRW UHFHLYH)HGHUDO 3H
WLP 6WXGHQWV FDQ UHFHLYH WKH)HGHUDO 3HOO *UDQW IRU
HTXLDOHQW 6WXGHQWV ZLOO UHFHLYH D QRWLFH LI WKH\ DUH

3HOO *UDQW DQG ,UDT DQG \$IJKDQ DQG QW D±W 2y^€ (ò%ÂFoP a"º

9HUPRQW DQG 5KRGH ,VODQG 6WDWH *UDQW
7KH 9HUPRQW ZZZ YVDF RUJ DQG 5KRGH ,VODQG ZZZ ULKHDD
WKHLU UHVLGHQWV DWWHQGLQJ D FROOHJH LQ KLV KHU VWDWH
VWDWH¶V ZHEVLWH IRU PRUH VSHFLILF LQIRUPDWLRQ RQ WKH VW

6WDWH &ROOHJH DQG 1RQSURILW RU 3ULYDWH 6WXGHQW \$L
3OHDVH FKHFN 1&&¶V ILQDQFLDO DLG ZHESDJH KWWSV QDVKXD
LQIRUPDWLRQ DERXW 6WDWH &ROOHJH DQG 1RQSURILW RU 3ULY

'LUHFW /RDQ 3URJUDP
7KH 8 6 'HSDUWPHQW RI (GXFDWLRQ RIIHUV HOLJLEOH VWXGHQW
6XEVLGL]HG /RDQV DQG 'LUHFW 8QVXEVLGL]HG /RDQV 6WXGHQW
HOLJLEOH FUHGLWV WR EH HOLJLEOH IRU WKHVH SURJUDPV 7K
H[FHHG WKH FRVW RI DWWHQGDQFH PLQXV RWKHU DVVLVWDQFH
SOXV WKH H[SHFWHG IDPLO\ FRQWULEXWLRQ RU ()& \$OO VWXGH
3URPLVVRU\ 1RWH DW KWWSV VWXGHQWDLG JRY K FRPSOHWH D
&ROOHJH PXVW DOVR FRPSOHWH /RDQ (QWUDQFH &RXQVHOLQJ DV

'LUHFW 6XEVLGLJHG /RDQV DUH VXEVLGLJHG E\ WKH IHGHUDO JRY
ILQDQFLDO QHHG 6XEVLGLJHG PHDQV WKH VWXGHQW LV QRW UHV
SHULRGV RI DW OHDVW KDOI WLPH HQUROOPHQW VL[FUHGLW
DIWHU JUDGXDWLRQ ZLWKGUDZDO RU ZKHQ HQUROOPHQW GURS
ERUURZHU RQ RU DIWHU -XO\ WKHUH LV D OLPLW RQ WKH
DFDGHPLF \HUV WKDW \RX FDQ UHFHLYH 'LUHFW 6XEVLGLJHG /R
'LUHFW 8QVXEVLGLJHG /RDQV RU 'LUHFW 3/86 /RDQV ,I WKLV OLP
'LUHFW 6XEVLGLJHG /RDQV IRU PRUH WKDQ SHUFHQW RI WKH S
FDOOHG \RXU 3PD[LPXP HOLJLELOLW\ SHULRG <RXU PD[LPXP HO
OHQJWK RI \RXU FXUUHQW SURJUDP <RX FDQ XVXDOO\ ILQG WKH
LQ WKH VFKRRO\ FDWDORJ)RU H[DP SOH LI \RX DUH HQUROOHQ
WKH PD[LPXP SHULRG IRU ZKLFK \RX FDQ UHFHLYH 'LUHFW 6XEVL
SHUFHQW RI \HUV \HUV)RU PRUH GHWDLOHG LQIRUPDWL
ZZZ 6WXGHQW\$LG JRY W\SHV ORDQV VXEVLGLJHG XQVXEVLGLJHG
'LUHFW 8QVXEVLGLJHG /RDQV DUH QRW VXEVLGLJHG E\ WKH IHGH
ILQDQFLDO QHHG 8QVXEVLGLJHG PHDQV WKH VWXGHQW LV UHVSI
SHULRGV RI HQUROOPHQW DOWKRXJK DFWXDO SD\PHQW FDQ EH C
DIWHU JUDGXDWLRQ ZLWKGUDZDO RU ZKHQ HQUROOPHQW GURS

'LUHFW 6XEVLGLJHG DQG 8QVXEVLGLJHG \$QQXDO /RDQ /LPLWV
'HSHQGHQW 6WXGHQWV H[FHSW VWXGHQWV ZKRVH SDUHQWV FDC
PD\ ERUURZHU RQ RU DIWHU -XO\ WKHUH LV D OLPLW RQ WKH

‡)UHVKPHQ 8B Fo FROPHGLWV HDU\UHQV KPHSQMER Fo Â Fo PRIT)ZKLFK RQOY
6RSKRPRUP2 5`Â FALFBUUV HDU\UHQV KPHSQMER fôu T•8'Y%1Y%ZKL FHK yfSQP

,QGSHQGHQW 6WXGHQWV H[FHSW VWXGHQWV ZKRVH SDUHQWV FDC

6FKRODUVKLS 3URJUDPV

1&& 6FKRODUVKLS 3DJH

3OHDVH UHYLHZ WKH 1&& 6FKRODUVKLS SDJH DW

KWWS ZZZ QDVKXDFH HGX SD\ IRU FROOHJH VFKRODUVKLSV JUD

IRU WKH PRVW FXUUHQW VFKRODUVKLS LQIRUPDWLRQ

5HWXUQ RI 7LWOH ,9)XQGV

7LWOH ,9 IXQGV DUH RIIHUPP`°À00À • P @pÀ

6WXGHQWV DUH FRQVLGHUHG XQRIILFLDOO\ ZLWKGUDZQ IURP FR
DIWHU WKH DGG GURS SHULRG DQG IDLO WR SURYLGH RIILFLDO G
,QVWUXFWRUV ZLOO HQWHU D JUDGH RI \$) IRU WKHVH VWXGHQWV

H[SODLQLQJ WKH UHVXOWV RI WKH VFKRRO¶V FDOFXODWLRQ SUR
7KH &ROOHJH ZLOO UHWXUQ IXQGV WR WKH DSSURSULDWH DLG S
WKH IROORZLQJ RUGHU

)HGHUDO 'LUHFW 8QVXEV LGLJHG 6WDIIRUG /RDQ

)HGHUDO 'LUHFW 6XEV LGLJHG 6WDIIRUG /RDQ

)HGHUDO 'LUHFW 3/86 /RDQ

)HGHUDO 3HOO *UDQW

)HGHUDO 6XSSOHPHQWDO (GXFDWLRQ 2SSRUWXQLW\ *UDQW

2WKHU 7LWOH ,9 \$LG 3URJUDP y,°ÂFoPu'qHF‡ QG fy,G 3U†00 @p1€

7RW &UHG (DUQ	0LQLPXP &XPXODWLYH *UDGH 3RLQW \$YH IRU WKH 3URJUDP	
	&HUWLILFDWH 'LSORP	\$VVRFLDWH 'H
±		
±		
±		

4XDQWLWDWLYH 6WDQGDUG 3DFH &RPSOHWLRQ 5DWH &RPS

7LPH)UDPH &RPSRQHQQ

7KH 4XDQWLWDWLYH 6WDQGDUG RI WKH VDWLVIDFWRU\ DFDGHPLF
HOHPHQW PD[LXP WLPH IUDPH LV WKH WLPH IUDPH E\ ZKLFK D
SURJUDP 7KH VHFRRG HOHPHQW SDFH LQFOXGHV GHWHUPLQLQJ
WKH SURJUDP ZLWKLQ WKH VHW PD[LXP WLPH IUDPH 2QFH LW K
XQDEOH WR FRPSOHWH WKHLU DFDGHPLF SURJUDP ZLWKLQ WKH
WKH SDFH VWDQGDUG RU E\ KDYLQJ DWWHPSWHG RI WKH FUH
SURJUDP WKH VWXGHQW EHFRPHV LQHOLJLEOH IRU 7LWOH ,9 DL

3DFH &RPSOHWLRQ 5DWH &RPSRQHQQ

\$ VWXGHQW PXVW VXFHVXOO\ FRPSOHWH DW OHDVW WZR WKL
DWWHPSWHG WKURXJKRXW KLV KHU DFDGHPLF FDUHHU DW WKH F
WUDQVIHU FUHGLWV ZLOO EH LQFOXGHG LQ WKH TXDQWLWDWLYH

)RU H[DPSOH D VWXGHQW ZKR KDV HQUROOHG LQ FUHGLWV WK
WKH FROOHJH PXVW HDUQ FUHGLW IRU DW OHDVW FUHGLWV LQ
VDWLVIDFWRU\ DFDGHPLF SURJUHVV

0D[LXP 7LPH)UDPH &RPSRQHQQ

\$ VWXGHQW PD\ UHFHLYH VWXGHQW IHGHUDO VWXGHQW DLG IRU
SURJUDP RI VWXG\ XQWLO LW LV PDWKHPDWLFDOO\ LPSRVVLEOH
ZLWKLQ RI WKH SXEOLVKHG OHQJWK RI WKH VWXGHQW V SUR

\$OO DWWHPSWHG FUHGLWV DUH LQFOXGHG LQ WKH HYDOXDWLRQ

\$FDGHPLF 3HULRGV ,QFOXGHG LQ WKH 5HYLHZ

7KH TXDOLWDWLYH DQG TXDQWLWDWLYH VWDQGDUGV RI WKH 6DV
XVHG WR UHYLHZ WKH DFDGHPLF SURJUHVV IRU DOO SHULRGV RI
ZKLFK WKH VWXGHQW GLG QRW UHFHLYH IHGHUDO VWXGHQW DLG
SHULRGV IRU ZKLFK WKH VWXGHQW ZDV JUDQWHG DFDGHPLF DPQ

7LPLQJ RI WKH 5HYLHZ

7KH)LQDQFLDO \$LG 2IILFH RI WKH &&61+ LQVWLWXWLRQ ZLOO HY
DFDGHPLF SURJUHVV XSRQ FRPSOHWLRQ RI HDFK VHPHVWHU ZLW
SURJUDP WKH VWXGHQW LV HQUROOHG LQ

5HVXOWV RI WKH 5HYLHZ

0HHWLQJ 6DWLVIDFWRU\ \$FDGHPLF 3URJUHVV 6\$3 6WDQGDUGV

ZLOO EH FRGHG DV PDNLQJ 6DWLVIDFWRU\ \$FDGHPLF 3URJUHVV D
VWXGHQW DLG IRU WKHLU QH[W VHPHVWHU

6DWLVIDFWRU\ \$FDGHPLF 3URJUHVV 6\$3 :DUQLQJ
6WXGHQWV ZKR GR QRW PHHW 6\$3 VWDQGDUGV ZLOO EH SODFHG
6WXGHQWV SODFHG RQ 6\$3 ZDUQLQJ ZLOO UHIDLQ WKHLU HOLJL
VHPHVWHU

\$W WKH HQG RI WKH ZDUQLQJ SHULRG 6\$3 VWDQGDUGV ZLOO EH
VWDQGDUGV KH VKH ZLOO RQFH DJDLQ EH FRGHG DV PDNLQJ VD
UHIDLQ HOLJLELQW\ IRU IHGHUDO VWXGHQW DLG IRU WKHLU QH
6\$3 VWDQGDUGV KH VKH ZLOO QR ORQJHU EH HOLJLEOH WR UHF
VXFK WLPH WKDW KH VKH LV DEOH WR PHHW 6\$3 VWDQGDUGV RU

6DWLVIDFWRU\ \$FDGHPLF 3URJUHVV 6\$3 6XVSHQVLRQ
,I WKH VWXGHQW LV VWLOO XQDEOH WR PHHW 6\$3 VWDQGDUGV D
ORQJHU EH HOLJLEOH WR UHFHLYH IHGHUDO VWXGHQW DLG DW W
WR PHHW 6\$3 VWDQGDUGV RU KDV EHHQ JUDQWHG 3UREDWLQ

6DWLVIDFWRU\ \$FDGHPLF 3URJUHVV 6\$3 3UREDWLQ
\$ VWXGHQW ZKR EHFRPHV LQHOLJLEOH IRU IHGHUDO VWXGHQW D
DFDGHPLF SURJUHVV VWDQGDUGV PD\ DSSHDO IRU D UHYLHZ RI V
JUDQWHG D VWXGHQW ZLOO EH DVVLJQHG D 6\$3 VWDWXV RI 3UR
VHPHVWHU +RZHYHU WKLV SHULRG FDQ EH H[WHQGHG E\ SODFL
KH VKH ZLOO UHTXLUH PRUH WKDQ RQH VHPHVWHU WR UHHVWDE
VWDQGDUGV 'XULQJ 3UREDWLQ WKH VWXGHQW ZLOO EH HOLJL

\$SSHDO 3URFHVV
\$ VWXGHQW ZKR EHFRPHV LQHOLJLEOH IRU IHGHUDO VWXGHQW D
\$FDGHPLF 3URJUHVV VWDQGDUGV PD\ DSSHDO IRU D UHYLHZ RI W
DSSHDO LQ ZULWLQJ

7KH VWXGHQW DSSHDO UHTXHV DQG DQ\ VXSSRUWLQJ GRFXPHQ
VXEPLWWHG WR WKH)LQDQFLDO \$LG 2IILFH \$ VXFFHVVIXO DSSH
VWXGHQW WR EH HOLJLEOH IRU IHGHUDO VWXGHQW DLG IRU KLV

\$ VWXGHQW FKRRVLQJ WR VXEPLW DQ DSSHDO RI KLV KHU 6\$3 UH
LQIRUPDWLRQ WR WKH)LQDQFLDO \$LG 2IILFH

\$ ZULWWHQ H[SODQDWLRQ RI WKH FLUFXPVWDQFHV WKDW SU
VWDQGDUGV DQG GRFXPHQDWLRQ RI DQ\ H[WHQXDWLQJ FL

7UHDWPHQW RI 5HSHDWHG &RXUVHV \$XGLWHG &RXUVHV ,QFR
 'HYHORSPHQWDO 5HPHGLDO &RXUVHV (QJOLVK DV D 6HFRQG
 &UHGLWV E\ ([DPLQDWLRQ 1RQ SXQLWLYH JUDGHV 3DVV)DLO

3OHDVH UHIHU WR WKH VSHFLILF VHFWRU IRU HDFK FRXUVH FUR
 EUHDNGRZQ RI KRZ HDFK W\SH RI FRXUVH RU FUHGLW LV WUHDW

	&XPXODWLYH * &RPSRQHGW	&RPSOHWLRQ 5 &RPSRQHGW	0D[LXP 7)UDPH &RPSRQH
5HSHDW &RXU	<	<	<
7UDQVIHU &U	1	<	<
&RQVRUWLXP	1	<	<
'HYHORSPHQW 5HPHGLDO (62	<	<	<
,QFRPSOHWHV	<	<	<
\$XGLW &RXUV	1	1	1
1RQSXQLWLYH	1	<	<
3DVV)DLO *U	1	<	<
:LWKGUDZDOV	1	<	<

5HSHDW &RXUVH

)RU RQH WLPH RQO\ ILQDQFLDO DLG ZLOO FRYHU D UHSHDWHG F
 WKLV SXUSRVH SDVVHG PHDQV DQ\ JUDGH KLJKHU WKDQ DQ 3) '
 UHTXLULQJ D KLJKHU TXDOLWDWLYH JUDGH RU PHDVXUH WR KDYH
 \$ VWXGHQW PD\ EH UHSHDWHGO\ SDLG IRU IDLOLQJ ZLWKGUDZLQ
 SDVVHG D FRXUVH RQFH WKHQ LV UHSDLG IRU WDNLQJ LW DQG
 FRXQWV DV WKHLU SDLG UHWDNH DQG WKH VWXGHQW PD\ QRW E
 ,I D SURJUDP RI VWXG\ UHTXLUHV VWXGHQWV WR UHWDNH DOO R
 IDLOV D FRXUVH DQ\ FRXUVHV UHWDNHQ WKDW ZHUH SUHYLRXV
 ,9 DLG

7UDQVIHU &UHGLWV

&UHGLWV WKDW DUH WUDQVIHUHG LQ IURP DQRWKHU LQVWLWXW
 H[FOXGHG IURP WKH VWXGHQW V FXPXODWLYH *3\$ +RZHYHU WK
 WKH PD[LXP WLPH IUDPH DQG FRPSOHWLRQ UDWH FRPSRQHGWV

&RQVRUWLXP &UHGLWV

\$OO FRXUVHV WDNHQ DW DQ LQVWLWXWLRQ RWKHU WKDQ WKH K
 LQFOXGHG LQ WKH FDOFXODWLRQ IRU FRPSOHWLRQ UDWH DQG P
 H[FOXGHG IURP WKH VWXGHQW V FXPXODWLYH *3\$ FRPSRQHGW

'HYHORSPHQWDO 5HPHGLDO (62/ &UHGLWV

&UHGLWV IURP WKHVH FRXUVHV PD\ EH LQFOXGHG LQ WKH FDOFX
 VDWLVIDFWRU\ DFDGHPLF SURJUHVV UHYLHZ \$ VWXGHQW LV HOL
 6WXGHQW DLG LQ WKLV FDWHJRU\ (62/ FUHGLWV DUH QRW FRXQV

,QFRPSOHWHV

\$OO LQFRPSOHWHV PXVW EH UHVROYHG E\ WKH HQG RI WKH WKLU
UHFHLSW RI WKH LQFRPSOHWH JUDGH ,I QRW WKH JUDGH LV HL
FRQVLGHUHG WR EH DQ) IRU DOO FRPSRQHQWV RI WKH VDWLVI
)LQDQFLDO \$LG FDQ EH ZLWKKHOG XQWLO ,QFRPSOHWHV DUH UH

\$XGLW &RXUVHV

9HWHUDQV \$VVLVWDQFH

+RZ GR , UHFHLYH 9\$ (GXFDWLRQ %HQHILWV DW 1&&"

\$SSO\ IRU DGPLVLRQ WR DQ HOLJLEOH GHJUHH RU FHUWLILF
2IILFH

\$SSO\ IRU 9\$ (GXFDWLRQ %HQHILWV WKURXJK WKH 9\$ 6WXGH
EHIRUH PXVW ILOH DQ RULJLQDO DSSOLFDWLRQ 9HWHUDQV 9
7UDQVIHU RI (OLJLELOLW\ VWXGHQWV 9\$)RUP H
9\$ EHQHILWV EHIRUH PXVW ILOH D 5HTXHVW IRU &KDQJH RI 3U
9HWHUDQV DQG 7R(VWXGHQWV 9\$)RUP GSHQGHQWV
6WXGHQWV DSSO\LQJ IRU &+ LQ OLHX RI RU UHOLQTXLVKLO
9\$)RUP

&RPSOHWH WKH 3ULRU &UHGLW \$FNQRZOHGJHPHQW)RUP DYD
&HQWHU

6XEPLW D FRS\ RI \RXU -RLQW 6HUULFH RU &RPPXQLW\ &ROOH
WUDQVFULSWV IURP DOO SUHYLRXVO\ DWWHQGHG LQVWLWXWV

6LJQ WKH 6WDWHPHQW RI 8QGHUVWDQGLQJ)RUP DYDLODEOH

6XEPLW WKH DSSURSULDWH GRFXPHQWDWLRQ IRU WKH EHQHILW

D &KDSWHU 9\$ 9RFDWLRQDO 5HKDELOLWDWLRQ 6XEPL
\RX UHFHLYHG IURP \RXU 9\$ &RXQVHORU LQ ODQFKHVWHU
E &KDSWHU 3RVW *, %LOO 6XEPLW \RXU &HUWLILFH
UHFHLYHG LQ WKH PDLO RU \RXU H%HQHILWV (GXFDWLRQ
F &KDSWHU 0RQWJRPHU\ *, %LOO &KDSWHU 0RQWJ
5HVHUYH RU &KDSWHU 'HSHQGHQWV 6XEPLW \RXU &
\RX UHFHLYHG LQ WKH PDLO

(QUROO RQO\ LQ FRXUVHV UHTXLUH IRU \RXU DFDGHPLF SUR

1RWLI\ WKH 9\$ 6WXGHQW &RXQVHORU DQ\ WLPH \RX KDYH D F
DGG GURS VZLWFK

&KDQJLQJ \RXU PDMRU

&ROOHQH_QWμ&KDQHS+DGH D3PDMR¶G IRPU DGH UGRXLQ I\ WK

7KH GXDO PDMRU PXVW EH DSSURYHG E\ WKH 1+ 3RVWVHFRQGDU
JLYHQ FRXUVHV ZLOO EH FHUWLILHG WR WKH 9\$

6WXGHQWV FDOOHG WR \$FWLYH 'XW\
1 FoP uYP&™ 2!™' À

6WXGHQW , '¶V

0DWULFXODWHG VWXGHQWV DUH LVVXHG D VWXGHQW , ' DW WKH EH
1RQ PDWULFXODWHG VWXGHQWV PD\ SXUFKDVH DQ , ' IRU 6WXS
ZLOO EH DVVHVHVG D IHH RI \$ YDOLG 1&& , ' LV UHTXLUHG ZKHQ
&HQWHU RU ZKHQ FKHFNLQJ RXW PDWHULDOV IURP WKH &ROOHJH
FRQWDFW &DPSXV 6DIHW\

3DUNLQJ

6WXGHQWV PXVW SDUN LQ GHVLJQDWHG DUHDV RQO\ \$OO VWXGHQW
GLVSOD\HG 3DUNLQJ WDJV FDQ EH REWDLQHG IURP WKH &DPSXV 6
DV IROORZ

)LUVW 2IIHQVH

6HFRQG 2IIHQVH

7KLUG 2IIHQVH

:KHQ QHFHVVDU\ WRZLQJ DW WKH VWXGHQW¶V H[SHQVH PD\ EH DX
GHVLJQHH \$Q\ RIIHQVHV LQ H[FHVV RI WKUHH VKDOO EH FKDUJHG
SHUPDQHQW FDU UHVWULFWLRQ IURP FDPSXV 7UDQVFULSWV GLS
XQWLO DOO SDUNLQJ ILQHV DUH SDLG

8QOHVV VSHFLILF SHUPLVVLRQ LV JLYHQ YHKLFOHV DUH QRW WR
YHKLFOH RQ FDPSXV VKRXOG KDYH D SDUNLQJ WDJ LQ DQ DUHD ZK

:LUHOHV \$FFHV 3ROLF\

7KH ZLUHOHV QHWZRUN LV DYDLODEOH RQ FDPSXV \$FFHV WR W
VWDII &RPSXWHUV DFFHVVLQJ WKH V\WHP PXVW KDYH XS WR GDV
FXUUHQW YLUXV GHILQLWLRQ XSGDWHV LQ SODFH ,I \RX DUH D UH
E\ ORJLQJ RQ XVLQJ \RXU (DV\|RJLQ XVHUQDPH DQG SDVVZRUG

8VH RI WKH ZLUHOHV QHWZRUN PXVW FRQIRUP WR WKH 6WXGHQW

)RU PRUH LQIRUPDWLRQ RQ KRZ WR FRQQHFW WR FDPSXV ZLUHOHV
KWWSV QDVKX LQ p0` VP™Z 0Â° 0p 0@`WD`ĐJKWWÀ0VRQLJD` @00À

9, 7LPLQJ &RQWHQW DQG 'HFLVLRQ &ULWHULD IRU D &DPSXV 7LPH
1RWLILFDWLRQ

\$ 7LPHO\ :DUQLQJ

" 7KH ZDUQLQJ VKRXOG EH LVVXHG DV VRRQ DV WKH SHUWLQHQ
LQWHQW RI D FDPSXV WLPHO\ ZDUQLQJ LV WR DOHUW WKH FDP
HVSHFLDOO\ FRQFHUQLQJ VDIHW\ WKHUHE\ HQDEOLQJ FRPPXQ
" 7KH ZDUQLQJ VKRXOG LQFOXGH DOO LQIRUPDWLRQ WKDW ZRXO
ZLOO VSHFLI\ WKH W\SH RI UHSRUWHG FULPH WKH WLPH DQG
RFFXUUHG DQG VSHFLILF DGylfH WR WKH FDPSXV FRPPXQLW\

6WXGHQWV LQ YLRODWLRQ RI WKLV SROLF\ ZLOO JR WKURXJK WKH
VWDII YLRODWLRQV VKDOO EH UHSRUWHG WR WKH DSSURSULDWH

6HUYLEFH \$QLPDO 3ROLF\
6HUYLEFH DQLPDO PHDQV DQ\ GRJ WKDW LV LQGLYLGXDOO\ WUDLQH
RI DQ LQGLYLGXDO ZLWK D GLVDELOLW\ LQFOXGLQJ D SK\VLFDQ
PHQWDO GLVDELOLW\ 2WKHU VSHFLHV RI DQLPDOV ZKHWKHU ZLOO
VHUYLEFH DQLPDOV IRU WKH SXUSRVHV RI WKLV GHILQLWLRQ 7KH
PXVW EH GLUHFWO\ UHODWHG WR WKH LQGLYLGXDO V GLVDELOLW\
OLPLWHG WR DVVLVWLQJ LQGLYLGXDOV ZKR DUH EOLQG RU KDYH
DOHUWLQJ LQGLYLGXDOV ZKR DUH GHDI RU KDUG RI KHDULQJ WR
QRQ YLROHQW SURWHFWLRQ RU UHVFXH ZRUN SXOOLQJ D ZKHOF
DOHUWLQJ LQGLYLGXDOV WR WKH SUHVHGFH RI DOOHUJHQV UHWU
SURLGLQJ SK\VLFDQ VXSSRUW DQG DVVLVWDQFH ZLWK EDODQFH
GLVDELOLWLHV DQG KHOSLQJ SHUVRQV ZLWK SV\FKLDWULF DQG
LQWHUUXSWLQJ LPSXOVLYH RU GHVWUXFWLYH EHKDYLRUV 7KH
DQG WKH SURLVLRQ RI HPRWLRQDO VXSSRUW ZHOO EHLQJ FRPI
ZRUN RU WDVNV IRU WKH SXUSRVHV
RI WKLV GHILQLWLRQ)HGHUDO 5HJXODWLRQ 7LWOH : &KDS

6RPH ([DPSOHV RI 7\SHV RI 6HUYLEFH \$QLPDOV

*XLGH ~~\$GRJ~~ GRJ WKDW LV WUDLQHG WR VHUYH DV D WUDYHO WRRO IR
YLVLRQ

+HDULQJ ~~\$GRJ~~ GRJ WUDLQHG WR DOHUW GHDI SHUVRQV RU WKRVH ZLW
VRXQGV VXFK DV NQRFNV RQ GRRUV ILUH DODUPV SKRQH ULQJLQ

6HUYLEFH GRJ ~~\$VWDOHWURQ~~ VWDOHWURQHG WR DVVLVW D SHUVRQ ZLWK D
LPSDLUPHQW 7\SHV RI GXWLHV WKH GRJ PD\ SHUIRUP LQFOXGH FD
ULQJLQJ GRRUEHOOV DFWLYDWLQJ HOHYDWRU EXWRQV VWHDG\
WR JHW XS DIWHU D IDOO HWF

6LJ ~~\$GRJ~~ GRJ WUDLQHG WR DVVLVW D SHUVRQ ZLWK DXWLVP 7H
PRYHPHQWV ZKLFK PD\ DSSHU GLVWUDFWLQJ WR RWKHUV DQG D
SHUVRQ ZLWK DXWLVP PD\ DOVR KDYH GHILFLWV LQ VHQRU\ LQSX
SURLGH VLPLODU DVVLVWDQFH DV LV SURLGHG WR D SHUVRQ ZK

6HL]XUH UHVS ~~\$GRJ~~ GRJ WUDLQHG WR DVVLVW SHUVRQV ZLWK VHL]XUH
ZKLFK WKH GRJ VHUYHV YDULHV GHSHQLQJ RQ WKH LQGLYLGXDO
VHL]XUH DQG SURLGH DGYDQFHG ZDUQLQJ

5HTXLUPHQWV RI 6HUYLEFH \$QLPDOV DQG 7KHLU +DQGOHUV

7KH IROORZLQJ JHQHDOO\ DSSO\ WR DOO DSSURYHG VHUYLEFH DQ

‡ 7KH VHUYLEFH DQLPDO PXVW EH UHJLVWHUHG WKURXJK WKH 'LV
2IILFH

‡ 7KH VHUYLEFH DQLPDO PXVW KDYH DOO YHWHULQDULDQ UHFRPP

DQLPDO V KHDOWK DQG SUHYHQW FRQWDJLRXV GLVHVVHV 'R
SURLGHG LQ DGYDQFH
‡ 7KH VHUULFH DQLPDO PXVW EH OLFHQVHG DQG KDYH WDJV LQ D
ORFDO ODZV 'RFXPHQWDWLRQ RI DQLPDO OLFHQVLQJ PXVW EH
DOVR UHVHUYHV WKH ULJKW WR UHTXHVW SURRI RI OLFHQVLQJ
‡ 7KH VHUULFH DQLPDO PXVW EH RQ D OHDK KDUQHVV RU WHW
ZKHQ WKH DQLPDO LV SHUIRULQJ D VSHFLILF GXW\ WKDW UHTX
1DWXUH RI WKH GRFXPHQWHG GLVDELOLW\ RI WKH KDPyuvcf @p€

XVHG E\ GLDOLQJ
6DIHW\

LQ DQ HPHUJHQF\ ,QGLYLGXDOV ZKR PDNH

(PHUJHQF\ %OXH 3KRQHV

\$YDLODEOH RXWVLGH WKURXJKRXW WKH FDPSXV ,W ZLOO GLDO
ER[HV DUH 127 GLUHFWHG WR &DPSXV 6DIHW\ WKHUHIRUH VWXGH
6WDWH WKH SUREOHP ORFDWLRQ DQG LI DQ DPEXODQFH LV QHHG

6DIHW\ DQG)LUH 5HJXODWLRQV

,Q DOO VFLHQFH DQG PHFKDQLFV ODERUDWRULHV ZKHUH H\H LQMX
PXVW EH ZRUQ DW DOO WLPHV 6DIHW\ PXVW EH NHSW LQ PLQG ,Q
&DPSXV 6DIHW\ ,I DFFLGHQWV GR RFFXU DQ DFFLGHQW IRUP PXVW
)LUH GULOOV ZLOO EH KHOG SHULRGLFDOO\ DQG VWXGHQWV VKRX

HYDFXDWLQJ WKH EXLOGLQJ)LUH DODUP SXOO ER[HV DUH ORFDW
WKURXJKRXW WKH FROOHJH EXLOGLQJV

:KHQ GLVFRYHULQJ D ILUH

" ,PPHGLDWHO\ SXOO D ILUH DODUP ER[

" 1RWLI\ WKH 'LUHFWRU RI)DFLOLWLHV RU KLV GHVLJQHH DV W

:KHQ WKH ILUH DODUP VRXQGV

"

HIG JLO BDKNO HDO BSAO OIS XOEONB.GEHO Q% BMEHO ZNA OLB E no nqdt B! 0

D UHVRXUFH WR RXU VWXGHHQWV DQG LW VKRXOG QRW EH YLHZHG
RU WKHLU SODQV 7R REWDLQ JRYHUQPHQW LQIRUPDWLRQ RQ KHD
KWWSV ZZZ KHDOWKFDUH JRY JHW FRYHUDJH

+HDOWK LQVXUDQFH PXVW PHHW WKH IROORZLQJ FULWHULD
‡ 8QLWHG 6WDWHV EDVHG LQVXUDQFH SODQ
‡ 3URYLGHV WKH HVVHQWLDO KHDOWK EHQHILWV VSHFLILHG I
KWWSV ZZZ KHDOWKFDUH JRY JORVVDU\ HVVHQWLDO KHDOW
QFH W Z‡ 8 K WKH FoPu7B...&

H O D Q GHWRK WWRV Ro P u 7 B...& Ad @ Q Q e f u H S (R f R o P u 7 B...&

WUDQVSRUW DOFRKROLF EHYHUDJHV RQ WKH SXEOLF DUHDV F
VSRQVRUHG HYHQWV RU DFWLYLWLHV RII FDPSXV
6DOH RI DOFRKROLF EHYHUDJHV RQ FDPSXV LV SURKLELWHG
'ULQNLQJ RI DOFRKROLF EHYHUDJHV LV SURKLELWHG LQ WKH
WKLW LQFOXGHV DOO IDFLOLWLHV IXQFWLRQV RSHQ WR WKH
VRFLDO ILHOG WULSV VWUHHWV DQG \DUGV URDGV DQG SDU
RWKHU SXEOLF SODFHV
\$OFRKROLF EHYHUDJHV ZLOO QRW EH SHUPLWWHG DW VWXGH
ZLWKRXX DSSURYDO IURP WKH 3UHVVLGHQW 5HTXHVWV IRU DS
OHDVW GD\ SULRU WR WKH HYHQW +RZHYHU D ZDLYHU PD
DQG ZKHQ DOO RWKHU DUUDQJHPHQWV DUH FRQVLVWHQW ZLW
7UXVWHHV 5HJXODWLRQV 7KR VH ZKR JUDQW DSSURYDO IRU D
ZULWWHQ UHFRUG RI DOO VXFK DSSURYDO
1R SXUFKDVH RI DOFRKROLF EHYHUDJHV LV DOORZHG IURP D
RI WKH &61+ %RDUG RI 7UXVWHHV \$OO HYHQWV DW ZKLFK D
WKH &61+ \$OFRKRO 3ROLF\ &ROOHJH)DFLOLWLHV LQFOXGL
WKDW D WKLUG SDUW\ OLFHQVHG YHQGRU EH UHWDLQHG RU V
9LRODWLRQ RI WKH UXOHV FRQFHUQLQJ XVH RI SRVVHVLRQ
UHIHUUDO WR D MXGLFLDO KHDULQJ ZKLFK PD\ UHVXOW LQ VX
\$OFRKROLF EHYHUDJHV ZLOO QRW EH DOORZHG LQ DFDGHPLF
DFDGHPLF GD\
)RRG DQG QRQ DOFRKROLF GULQNV PXVW EH DYDLODEOH DW
DOFRKROLF EHYHUDJHV
([FHVVLYH RU LUUHVSQVLEOH GULQNLQJ DQG GUXQNHQQHV
1R SHUVRQ PD\ VHOO IXUQLVK RU JLYH DOFRKRO WR DQ\
RU WR DQ\RQH ZKR LV YLVLEO\ LQWR[LFDWHG HYHQ LI W

'UXJV DQG 1DUFRWLFV

7KH XVH SRVVHVLRQ RU GLVWULEXWLRQ RI QRQ SUHVFULEHG G
VWXGHQWV LV QRW DOORZHG RQ FDPSXV DQG ZLOO UHVXOW LQ V
FROOHJH VWXGHQW WUDIILFNLQJ LQ GUXJV VKDOO EH VXEMHFW
&ROOHJH ZLOO EH WR FRRSHUDWH IXOO\ ZLWK ODZ HQIRUFHPHQ

7KLV SROLF\ LV EDVHG RQ)HGHUDO DQG 6WDWH RI 1HZ +DPSVKL
7KH SK\VLFDQ DQG PHQWDO GDQJHUV DVVRFLDWHG ZLWK WKH XV
QXPURXV +HDY\ GULQNLQJ RYHU D SHULRG RI WLPH FDQ FDXVH
SUREOHPV DQG VHULRXV QHUYRXV RU PHQWDO GLVRUGHUV \$GG
XSRQ WKH DEXVHU LW SODFHV SURIRXQG VWUHHV XSRQ IDPLO\

7KH &ROOHJH LV FRQFHUQHGH DERXW DEXVHUV XVLQJ WRROV DQ
LQGLYLGXDOV DV ZHOO DV WR RWKHUV QHDUE\ 2QFH DJDLQ W
IRUELGGHQ RQ FDPSXV 7KH &ROOHJH UHDOL]HV WKDW FLUFXPV
PD\ OHDG WR GHSHQGQHGH XSRQ GUXJV DQG RU DOFRKRO

5HFRJQL]LQJ LWV UHVSQVLELOLW\ WR EH FRQFHUQHGH ZLWK WKH
VWDII 1&& SDUWQHUV ZLWK DUHD DJHQFLHV WR SURYLGH LQIRUP
SURYLGHV UHIHUUDO VHUYLFHV WR WKR VH LQ QHHG RI FRXQVHOL
WR VHHN DVVLVWDQFH IURP D FRXQVHORU DQG WR VHFXUH WKH S

)RU LQIRUPDWLRQ DERXW OHJDO VDQFWLRQV LPSRVHG XQGHU OR
WKH \$QQXDO &OHU\ 6HFXULW\ 5HSRUW RQ WKH &DPSXV 6DIHW\

5HIHUUDO IRU \$OFRKRO DQG 'UXJ \$EXVH 7UHDWPHQW

&KDUJLQJ DQ LQGLYLGXDO ZLWK D FRGH RI FRQGXFV YLRO
VWDWHPHQW LQ EDG IDLWK GXULQJ D JULHYDQFH SURFHHC
SURKLELWHG E\ WKLW SROLF\ \$ GHWHUPLQDWLRQ UHJDUG
QRW VXIILFLHQW WR FRQFOXGH WKDW DQ\ SDUW\ PDGH D F
N 6H[XDO KDUDLVPHQW VH DQ\ RI WKH WKUHH W\SHV RI PLVFR
VH[DOO RI ZKLFK MHRSDUGL]H WKH HTXDO DFFHVV WR HG
SURWHFW DQ\ LQVWDQFH RI TXLG SUR TXR KDUDVVPHQW
DQ\ XQZHOFRPH FRQGXFV WKDW D UHVRQDEOH SHUVRQ Z
DQG REMHFVLYHO\ RIIHQVLYH WKDW LW GHQLHV D SHUVRQ
LQVWDQFH RI VH[ZIDOFKLLRQFOXGHV VHE\EDQ ODVWDXDWDO B'

6WDONLVQHQQJDDLQJ LQ D FRXUVH RI FRQGXFV GLUH
SHUVRQ WKDW ZRXOG FDXVH D UHVRQDEOH SHUV
VDIHW\ RU WKH VDIHW\ RI RWKHUV RU VXIIHU VXE
O & RQVHQVHG LQ WKLV SROLF\ LV DQ DIILUPDWLYH GHFLVL
DFFHSWDEOH VH[XDO DFWLYLW\ JLYHQ E\ FOHDU DFWLRQV RU Z

,; +RZ WR *HW +HOS ,I <RX +DYH %HHQ \$FFXVHG RI 6H[XDO 9LROHQ

D \$ UHVSRRGGHQW DFFXVHG RI VH[XDO YLROHQFH PD\ VHHN VXSS
WHOH PHQWDO KHDOWK SURYLGHU RU D KHDOWKFDUH SURYLGH
E 7KH FROOHJH ZLOO QHYHU UHTXHVW DFFHVV WR UHFRUGV PDG
SURYLGHU DFWLQJ RU DVVLVWLQJ LQ WKDW FDSDFLW\ DQG ZKL
ZLWK WKH SURYLVLRQ RI WUHDWPHQW WR WKH SDUW\ XQOHVV
ZULWWHQ FRQVHQW WR GR VR IRU WKH JULHYDQFH SURFHVV X

;)RUPDO *ULHYDQFH 3URFHGXUHV)RUPDO &RPSODLQW 1RWLFH
\$SSHDO 3URFHVV

D)RUPDO FRPSODLQW PD\ EH ILOHG LQ SHUVRQ E\ PDLO RU H
FRQWDFW LQIRUPDWLRQ OLVWHG E\ WKH FROOHJH IRU WKH 7LW
ZULWLQJ E\ D FRPSODLQDQW LW VKDOO QRW EH WUHDWHG DV
DOVR UHTXHVW WKDW WKH FROOHJH LQYHVWLJDWH WKH UHSR
7LWOH ,; &RRUGLQDWRU UHFHLYHV DQ RUDO UHSRUW RI VH[XDO
UHSRUW ZLOO QRW EH WUHDWHG DV D IRUPDO FRPSODLQW XQC
FROOHJH LQYHVWLJDWH WKH UHSRUW RI VH[XDO KDUDVVPHQW
LQYHVWLJDWH WKH UHSRUW RI VH[XDO KDUDVVPHQW PXVW EH
FRPSODLQDQW SULRU WR WKH 7LWOH ,; &RRUGLQDWRU VLJQLQ
7LWOH ,; &RRUGLQDWRU LV QRW D FRPSODLQDQW RU RWKHU
L 7KH 7LWOH ,; &RRUGLQDWRU VKDOO WDNH QR IXUWKHU D
SROLF\ ,I WKH DOOHJDWLRQV FRQWDLQHG ZLWKLQ WKH I
VH[XDO KDUDVVPHQW DV GHILQH LQ WKLV SROLF\ GL
HGXFDFWLRQ SURJUDP RU DFWLYLW\ RU GLG QRW RFFX
6WDWHV
LL 7KH 7LWOH ,; &RRUGLQDWRU PD\ WDNH QR IXUWKHU
LI DW DQ\ WLPH GXULQJ WKH LQYHVWLJDWLRQ RU KH
&RRUGLQDWRU LQ ZULWLQJ WKDW WKH FRPSODLQDQW
FRPSODLQW RU DQ\ DOOHJDWLRQV WKHUHLQ WKH
HPSOR\HG E\ WKH FROOHJH RU VSHFLILF FLUFXP
JDWKHULQJ HYLGHQFH VXIILFLHQW WR UHDFK D GHWH
DOOHJDWLRQV WKHUHLQ
LLL 7KH 7LWOH ,; &RRUGLQDWRU V GHFLVLRQ WR W
LQ ZULWLQJ WR WKH FRPSODLQDQW \$ FRPSODLQDQW PD
IRUWK LQ VHFWRU K EHZ

E 1RWLFH

JDWKHUHG GXULQJ WKH LQYHVWLJDWLRQ SULRU WR WKH
YL WKH UDAQJH RI VDQFWLRQV WKDW PD\ EH LPSRVHG XS
UHVSQRVLEOH IROORZLQJ D KHDULQJ
7KH ZULWWHQ QRWLFH PXVW DOVR LQIRUP WKH SDUWLHV RI SU
WKDW SURKLELW NQRZLQJO\ PDLQJ IDOVH VWDWHPHQWV RU N
GXULQJ WKH JULHYDQFH SURFHVV DQG WKH SURKLELWLRQ DJD
F (PHUJHQF\ 5HPRYDO FDUWDLQ FLUFXPVWDQFHV D FROOHJH 3UHVLC
LVVXH DQ RUGHU IRU HPHUJHQF\ UHPRYDO RI D VWXGHQW IURP
(PHUJHQF\ UHPRYDO PD\ EH LPSRVHG RQO\ WR HQVXUH WKH
LQGLYLGXDO UHODWHG WR WKH DOOHJDWLRQV RI VH[XDO KDUD
RSHUDWLRQV RI WKH FROOHJH 1RWLILFDWLRQ RI WKH LPSRVLY
FRPPXQLFDWHG WR WKH UHVSRRGHQW DQG WKH 7LWOH ,; &RRU
UHVSRRGHQW VKDOO KDYH DQ RSSRUWXQLW\ WR FKDOOHQJH W
IRU D YLGRH KHDULQJ EHIRUH D KHDULQJ RIILFHU 7KH UHVSRR
UHPRYDO RUGHU XQWLO WKH RUGHU LV YDFDWHG RU RWKHUZL
G ,QYHVWLJDWLRQV SRUWV RI VH[XDO KDUDVPHQW SURKLELWHG E
H[SHGLWLRXVO\ DV SRVVLEOH XVXDOO\ ZLWKLQ GD\V ZLWK
SDUWLFXODU FDUH WR SUHVHUYH WKH FRQILGHQWLDOLW\ RI D
L \$OO VWXGHQWV DQG HPSOR\HHV ZKR DUH FRQWDFWHG E
WUXWKIXO IRUWKFRPLQJ DQG FRRSHUDWLYH LQ FRQQHF
LL 7KH LQYHVWLJDWRU¶V UHSRUW VKDOO IDLUO\ VXPPDULJ
VRXUFHV RI VXFK LQIRUPDWLRQ JDWKHUHG GXULQJ WKH
WR WKH 7LWOH ,; FRRUGLQDWRU
LLL 8SRQ UHFHLSW RI WKH UHSRUW WKH 7LWOH ,; F
(DFK SDUW\ DQG WKHLU FKRVLQ DGYLVRU PD\ UH
ZLWKLQ GD\V DQ\ DGGLWLRQDO LQIRUPDWLRQ
UHSRUW 7KH ILQDO UHSRUW ZLOO EH PDGH DYDI
LY 7KH LQYHVWLJDWLRQ VKDOO EH OLPLWHG WR WKH DOOH
H +HDULQJ 3UHVLC GD\V RI QRWLFH RI UHFHLYLQJ WKH LQYHV
FRRUGLQDWRU VKDOO VFKHGXOH D OLYH KHDULQJ EHIRUH WKH
VRRQHU WKDQ GD\V DIWHU WKH ILQDO LQYHVWLJDWLRQ UHS
L \$W WKH UHTXHVW RI HLWKHU SDUW\ WKH OLYH KHDULQJ
FRQIHUHQFH \$ UHFRUG RI WKH KHDULQJ ZLOO EH PDGH I
UHFRUGLQJ RU WUDQVFULSW
LL \$GYLVRU SDUW\ GRHV QRW KDYH DQ DGYLVRU SUHVHQW D
DVVLJQH E\ WKH 7LWOH ,; FRRUGLQDWRU WR DVVLVW WK
LLL 4XHVWLRQLQJ
\$W WKH OLYH KHDULQJ HDFK SDUW\¶V DGYLVRU PXVW
SDUW\ DQG DQ\ ZLWQHVVHV DOO UHOHYDQW TXHV
LQFOXGLQJ WKRVH TXHVWLRQV FKDOOHQJLQJ FUH
EH FRQGXFWHG GLUHFWO\ RUDOO\ DQG LQ UHDO
E\ D SDUW\ SHUVRQDOO\
,I D SDUW\ RU ZLWQHVV GRHV QRW VXEPLW WR FURVV
KHDULQJ RIILFHU RU SDQHO PXVW QRW
ZLWQHVV LQ UHDFKLQJ D GHWHUPLQDWL
GHWHUPLQDWLRQ UHJDUGLQJ UHVSRRVLE
VROHO\ RQ D SDUW\¶V RU ZLWQHVV¶V DEV
FURVV H[DPLQDWLRQ RU RWKHU TXHVWLF
2QO\ UHOHYDQW TXHVWLRQV VKDOO EH SHUPLWWHG %
RU ZLWQHVV DQVZHUV D TXHVWLRQ LW PXVW ILU
TXHVWLRQ LV UHOHYDQW DQG H[SODLQ DQ\ GHFLV
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4XHVWLRQV DQG HYLGHQFH RI HLWKHU SDUW\¶V FKDU
DGPLVVLEOH WR SURYH WKDW RQ D SDUWLFXODU

DFFRUGDQFH ZLWK WKH FKDUDFWHU RU WUDLW
4XHVWLRQV DQG HYLGHQFH DERXW WKH FRPSODLQDQW
 VH[XDO EHKDYLRU DUH QRW UHOHYDQW XQOHVV V
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 RWKHU WKDQ WKH UHVSQRVH FRPPLWWHG WKH FR
 WKH TXHVWLRQV DQG HYLGHQFH FRQFHUQ VSHFLI
 VH[XDO EHKDYLRU ZLWK UHVSQRQGHQW DQG DUH R
4XHVWLRQV RU HYLGHQFH WKDW FRQVWLWXWH RU VHF
 SURWHFWHG XQGHU D OHJDOO\ UHFRJQLJHG SULY
 SHUVRQ KROGLQJ VXFK SULYLOHJH KDV ZDLYHG V
LY 6WDQGDUG ~~RI RI~~ ~~RI RI~~ RIILFHU\ V RU SDQHO\ V GHWHU
 UHVSQRQGHQW\ V UHVSQRVLELWL\ VKDOO EH PDGH RQ W
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Y 'HWHUPLQ ~~RI RI~~ ~~RI RI~~ LQJ RIILFHU RU SDQHO VKDOO LVVXH
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 ,GHQWLILFDWLRQ RI WKH DOOHJDWLRQV SRWHQWLDO
 GHILQH LQ WKLV SROLF\
 \$ GHVFULSWLRQ RI WKH SURFHGXUDO VWHSV WDNHQ
 FRPSODLQW WKURXJK WKH GHWHUPLQDWLRQ RI UHV
 WR WKH SDUWLHV LQWHUYLHZV ZLWK SDUWLHV DQG
 WR JDWKHU RWKHU HYLGHQFH DQG KHDULQJV KHOG
)LQGLQJV RI IDFW VXSSRUWLQJ WKH GHWHUPLQDWLR
 &RQFOXVLRQV UHJDUGLQJ DSSOLFDWLRQ RI WKLV SR
 \$ VWDWHPHQW RI DQG UDWLRQDOH IRU WKH UHVXO\
 GHWHUPLQDWLRQ UHJDUGLQJ UHVSQRVLELWL\
 3ULRU WR LVVXDQFH RI WKH GHFLVLRQ WR WKH SDUW
 SURPSWO\ IRUZDUGHG WR WKH FROOHJH YLFH SUHVL
 GHVLJQDWHG GLVFLSOLQDU\ RIILFHU IRU D GHWHUPL
I 6DQFWLRQV
 L 8SRQ GHWHUPLQLQJ WKDW WKH UHVSQRQGHQW FRPPLWWH
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IRU D GHILQLWH SHULRG RI WLPH DIWHU ZKLFK WKH
HOLJLEOH WR UHWXUQ &RQGLWLRQV IRU UHGDPLVV
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&&61+ FROOHJHV ZKLFK PD\ LQFOO

;, 6H[XDO +DUDVVP HQW (GXFDWLRQ \$ZDUHQHV 3UHYHQWLRQ DQ

D 7UDLQLQJ IRU 7LWOH ,; &RRUGLQDWRUV ,QYHVWLJDWRUV DQ
*ULHYDQFH 3URFHVV ± (DFK HPSOR\HH ZKR SDUWLFLSDWHV LQ
JULHYDQFH SURFHVV XQGHU WKLV SROLF\ LQFOXGLQJ 9LFH 3U
&RRUGLQDWRUV LQYHVWLJDWRUV KHDULQJV RIILFHUV FDPRI

6WXGHQW +D]LQJ

, 3XUSRVH DQG 6FRSH
7KH &ROOHJH KHUHE\ UHFRJQLJH WKH GDQJHUV LQKHUHQW LQ V
DQG SODFH VWXGHQWV DQG VWDII RQ QRWLFH WKDW KD]LQJ PXV
UHSRUWHG WR WKH SROLFH DQG ZLOO VXEMHFW WKRVH ZKR SD
SURFHGXUHV DV ZHOO

,, 'HILQLWLRQV
)RU WKH SXUSRVH RI WKLV SROLF\ WKH IROORZLQJ WHUPV VKD
EHORZ

+D]LQJ PHDQV DQ\ DFW GLUHFHWHG WRZDUG DQ\ VWXGHQW RU
WR DFW SDUWLFLSDWH LQ RU VXEPLW WR DQ\ DFW ZKLFK LV O
OLNHO\ WR FDXVH SK\VLFDQ RU SV\FKRORJLFDQ LQMXU\ WR DQ\
DGPLVLRQ RU FRQWLQXHG DWWHQGDQFH LQ WKH &ROOHJH R
DILOLDWHG RU VDQFWLRQH G RUJDQL]DWLRQ

,,, 3URKLELWLRQV DQG 2EOLJDWLRQV
+D]LQJ LV D &ODVV % PLVGHPHDQRU LQ WKH 6WDWH V &ULPLQD
VXEPLWV DQG DQ\ SHUVRQ ZKR KDV GLUHFH NQRZOHGJH RI LW
DXWKRULWLHV DQG WKH SROLFH

D ,W LV DOVR D &ODVV % PLVGHPHDQRU IRU WKH FROOHJH RU
RUJDQL]DWLRQ WR NQRZLQJO\ RU QHJOLJHQWO\ IDLO WR W
RI LWV DXWKRULW\ WR SUHYHQW LW RU IDLOV WR UHSRUW
WKH YLFWLP LV QRW D GHIHQVH WR WKH FULPH RI KD]LQJ
E 1R FROOHJH VWXGHQW RIILFLDO RU HPSOR\HH VKDOO SDU
F 1R FROOHJH VWXGHQW RIILFLDO RU HPSOR\HH VKDOO NQR
UHSRUWLQJ LW DV VRRQ DV SUDFWLFDEOH WR WKH 9LFH 3U
\$IIDLUV &DPSXV 6DIHW\ DQG WR WKH SROLFH
G \$Q\ FROOHJH VWXGHQW RIILFLDO RU HPSOR\HH KDYLQJ GL
FRQQHFWRQ ZLWK WKH FROOHJH RU DQ\ FROOHJH DILOLD
WKH 9LFH 3UHVLGHQW RI 6WXGHQW DQG &RPPXQLW\ \$IIDLU

&ROOHJH (PDLO 6\VWHP

1DVKXD &RPPXQLW\ &ROOHJH 1&& KDV HVWDEOLVKHG D FROOHJH
PHDQV RI WKH &ROOHJH VHQGLQJ RIILFLDO LQIRUPDWLRQ WR HQU
FRPPXQLFDWLRQ WR WKHLU LQVWUXFWRUV DQG &ROOHJH SHUVRQ

&UHGLWV FDQ EH SXUFKDVHG LQ LQFUHPHQWV DQG FDQ WDNH X
VWXGHQWV SULQW EDODQFH SOHDVH SODQ DFFRUGLQJO\ 1R UHIX
SXUFKDVHG FUHGLWV SHU VHPHVWHU

3ULQWLQJ &RS\LQJ 6FDQQLQJ *XLGHOLQHV

5HVSHFW WKH ULJKWV RI RWKHU VWXGHQWV IDFXOW\ DQG V
'XULQJ SHDN WLPHV DYRLG ODUJH SULQW FRS\LQJ MREV
6WRUHG SULQWHG MREV H[SLUH DIWHU KRXUV
'R QRW RSHQ WKH SOHDVH FRQWDFW WKH ,7 'HSW
'R QRW UHPRYH RU ORDG SDSHU SOHDVH FRQWDFW WKH ,
'R QRW DGMXVW XQSOXJ RU UHPRYH DQ\ RI WKH SULQWH
SHUPDQHGW VHWWLQJV
3OHDVH EH VXUH \RX KDYH ORJJHG RXW RI \RXU VHVLRQ
,032578 DYLQJ DQ RSHQ VHVLRQ FRXOG DOORZ DQRWKHU
1DVKXD &RPPXQLW\ &ROOHJH LV QRW UHVSQRVLEOH IRU C
:KHQ FRS\LQJ GRFXPHQWV \RX DUH UHVSQRVLEOH IRU IR
'R QRW ZDLW XQWLO \RX DUH GRZQ WR D]HUR EDODQFH E
XS WR WKUHH EXVLQHV GD\ DIWHU SXUFKDVLRQ WR VHH

,QWHUQHW \$FFHV

7KH &ROOHJH DQG WKH &RPPXQLW\ &ROOHJH 6\VWHP RI 1HZ +DPSV
DQG UHVWULFW XVHU DFWLYLW\ RQ WKH QHWZRUN)DLOXUH WR FR
SULYLOHJHV 6WVGHQWV PXVW FRPSO\ ZLWK DOO FRPSXWHU SROL

+HDOWK DQG 6DIHW\ 6WDQGDUGV

6KRHV VKLUWV DQG DSSURSULDWH FORWKLQJ PXVW EH ZRUQ DW
KRYHUERDUGLQJ DQG IO\LQJ GURQHV DUH SURKLELWHG LQ WKH EX

)LUHDUPV DQG 'DQJHURXV :HDSRQV

)RU WKH SXUSRVH RI WKLV SROLF\ ILUHDUPV DQG GDQJHURXV ZHD
VKRWJXQV ULIOHV SLVWROV %% JXQV GDUW JXQV VWDUWHU SL
ZHDSRQV LQFOXGLQJ EXW QRW OLPLWHG WR QXQFKDNXV WKURZL
DQG DQ\ RWKHU GHYLFH WKDW FRXOG EH RU DSSHU WR EH RI GD
EH XWLOL]HG IRU VSHFLILF HYHQWV E\ TXDOLILHG SHUVRQV ZLWK
DUH QRW DOORZHG WR KDYH D ZHDSRQ RQ FDPSXV \$Q\ VWXGHQW
EH VXEMHFW WR SRVVLEOH GLVPLVDO IURP WKH FROOHJH

6PRNLQJ 3ROLF\

7R SURPRWH WKH KHDOWK DQG ZHOO EHLQJ RI VWXGHQWV IDFXOW
1&& LV 602.(\$1' 72%\$&&2)5((7KH 3ROLF\ IROORZV UHFRPPHQGDW
&ROOHJH +HDOWK \$VVRFLDWLRQ DQG WKH 7REDFFR)UHH &ROOHJH

6PRNLQJ WKH XVH RI VPRNHQHV WREDFFR RU WREDFFR DOW
QRW DOORZHG LQ RU RQ DOO FROOHJH RZQHG RU OHDVHG SUP
6PRNLQJ WKH XVH RI VPRNHQHV WREDFFR RU WREDFFR DOW
SURKLELWHG DQG LQ FDPSXV RZQHG OHDVHG RU UHQWHG YH
7KH RQO\ H[FHSWLRQ LV WKDW VPRNLQJ LV DOORZHG LQ SHU

'LVSRVLQJ RI WREDFFR SURGXFWV RQ &ROOHJH JURXQGV LV D
VPRNLQJ SROLF\
6DOH RI WREDFFR RU WREDFFR DOWHUQDWLYHV SURGXFWV R
'LVWULEXWLRQ RI IUHH WREDFFR RU WREDFFR DOWHUQDWLYH
6WXGHQWV YLRODWLQJ WKH 602.(\$1' 72%\$&&2)5((SROLF\ PD
SROLF\ VW RIIHQVH QG RIIHQVH
:KHQ D ILQH LV JLYHQ WKH LQGLYLGXDO KDV WKLUW\ GD\ V W
DFFRXQW ZLOO EH IURJHQ XQWLO UHVROYHG 7REDFFR LV GHII
SURGXFWV LQFOXGLQJ EXW QRW OLPLWHG WR FLJDUHWWHV
FLJDUV DQG FLJDULOORV KRRNDK VPRNHG SURGXFWV DQG RU
FKHZ VQXII

2SHUDWLRQV RI ORWRU 9HKLFOHV RQ &DPS

\$Q\ VWXGHQW IRXQG WR KDYH D PRWRU YHKLFOH YLRODWLRQ RQ F
VDQFWLRQV RXWOLQHG LQ WKLV GRFXPHQW

\$Q\ OLEUDU\ PDWHULDOV REWDLQHG WKURXJK LQWHUOLEUDU\ C
WKHUHIRUH DUH ERXQG E\ WKH VDPH UHWXUQ SROLFLHV

)RRG 6HUYLFH

1DVKXD &RPPXQLW\ &ROOHJH KRVWV D GLQLQJ IDFLQLW\ LQ WKH :H
YDULHW\ RI PDGH WR RUGHU JUDE DQG JR PHDOV DQG VQDFNV)UR

7KH &RPPXQLW\ &ROOHJH 6\VWHP RI 1+ &&61+ ZKLFK LQFOXGHV
WKH ZRUOG V ODUJHVW RQOLQH WKHUDS\ SURYLGHU LQ H[WHQGL

:HOOQHVV &HQWHU

7KH VTxDUH IRRW :HOOQHVV &HQWHU KRXVHV D IXOO VL]H J\
PXOWL SXUSRVH H[HUFLVH URRP ILWQHVV FHQWHU IXOO\ HTXLSS
URRP DQG ORXQJH VSDFH 7KLV IDFLOLW\ LV RSHQ WR DOO 1&& V\
&RPPXQLW\ &ROOHJH ,' DQG FRPSOHWLRQ RI WKH :HOOQHVV &HQW
DGPLWWDQFH LQWR DQ\ DWKOHWLF IDFLOLWLHV J\PQDVLXP ILWQ
ZDONLQJ WUDFN

7KH ILWQHVV FHQWHU LV HTXLSSHG ZLWK VWDWH RI WKH DUW FDU
&\EH[\$UF 7UDLQHUV WZR ([SUHVVR)LWQHVV YLUWXDO UHDOLW\ E
SLHFH &\EH[95 VWUHQJWK FLUFXLW &\EH[VPLWK PDFKLQH IUHH
7KH ILWQHVV FHQWHU VWDII LV WUDLQHG WR DVVLVW ZLWK VWUHQ
ZHOFRLQJ DQG KHOSIXO JURXS RI LQGLYLGXDOV ZKR DUH FRPLW
VWXGHQWV IDFXOW\ DQG VWDII

7KH VWDII NLQGO\ DNVV WKDW DOO XVHUV DGKHUH WR WKH IROOR
WUDFN PXOWL SXUSRVH H[HUFLVH URRP DQG J\PQDVLXP DUHDV

3OHDVH ZHDU FOHDQ QRQ PDUNLQJ DWKOHWLF VKRHV ZKHQ X
VKRHV RU RSHQ WRHG VKRHV VDQGDQV DUH DOORZHG
'R QRW EULQJ DQ\ IRRG RU EHYHUDJHV LQWR WKH IDFLOLWLHV
\$ YDOLG 1DVKXD &RPPXQLW\ &ROOHJH ,' LV UHTXLUHG IRU HQV
3OHDVH VLJQ LQ DQG RXW ZKHQ HQWHULQJ DQG H[LWLQJ WKH
'R QRW OHDYH SHUVRQDO EHORQJLQJV LQ WKH ORFNHUV RYHU
6WXGHQWV IDFXOW\ DQG VWDII DUH DOORZHG WR EULQJ WKH
7KH ILWQHVV FHQWHU VWDII ZLOO QRW SURYLGH ORFNV
\$OO SHUVRQDO EHORQJLQJV PXVW EH NHSW LQ WKH ORFNHUV
ORFNHU URRP RU DQ\ RWKHU ILWQHVV FHQWHU IDFLOLW\
'R QRW OHDYH WUDVK LQ DQ\ RI WKH IDFLOLWLHV
8VH DSSURSULDWH ODQJXDJH DW DOO WLPHV
3OHDVH UHVSHFW WKH ILWQHVV FHQWHU VWDII DW DOO WLPHV
KDYH WKH ILQDO GHFLVLRQ RQ DOO ILWQHVV FHQWHU PDWWHU

7KH PXOWL SXUSRVH URRP LV D URRP WKDW FDQ EH XWLOL]HG DV
PHHWLQJ DQG DFWLYLW\ VSDFH
7KH ILWQHVV FHQWHU J\PQDVLXP DQG ZDONLQJ WUDFN DUH RSHQ
EH SRVHG RQOL

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)HGHUDO ODZ WKDW SURWHFWV WKH SULYDF\ RI VWXGHQW HGXFDV
UHFHLYH IXQGV XQGHU DQ DSSOLFDEOH SURJUDP RI WKH 8 6 'HSD

)(53\$ JLYHV SDUHQWV FHUWDLQ ULJKWV ZLWK UHVSHFW WR WKHLU
WUDQVIHU WR WKH VWXGHQW ZKHQ KH RU VKH UHDFKHV WKH DJH F
VFKRRO OHYHO 6WVXGHQWV WR ZKRP WKH ULJKWV KDYH WUDQVIHU
QRWLI\ SDUHQWV DQG HOLJLEOH VWXGHQWV DQQXDOO\ RI WKHLU U

VXSSRUW VWDII SRVLWLRQ LQFOXGLQJ ODZ HQIRUFHPHQW XQ
VHUYLQJ RQ WKH ERDUG RI WUXVWHHV RU D VWXGHQW VHUYL
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RXWVLGH RI WKH &RPPXQLW\ &ROOHJH 6\ VWHP RI 1+ 1DVKXD &
LQVWLWXWLRQDO VHUYLFH RU IXQFWLRQ IRU ZKLFK WKH VFKR
ZKR LV XQGHU WKH GLUHFV FRQWURO RI WKH VFKRRO ZLWK U
HGXFDFWLRQ UHFRUGV VXFK DV DQ DWWRUQH\ DXGLWRU RU F
DVVLVW DQRWKHU VFKRRO RIILFLDO LQ SHUIRUPHQJ KLV RU KH
HGXFDFWLRQDO LQWHUHV LI WKH RIILFLDO QHHGV WR UHYLHZ
SURIHVVRURQDO UHVSQRVLELOLWLHV IRU WKH &RPPXQLW\ &ROO
&ROOHJH

8SRQ UHTXHVW WKH &ROOHJH DOVR GLVFORVHV HGXFDFWLRQ
DQRWKHU VFKRRO LQ ZKLFK D VWXGHQW VHHNV RU LQWHQGV
RI 1+ 1DVKXD &RPPXQLW\ &ROOHJH ZLOO PDNH D UHDVRQDEO
WKHVVH GLVFORVXUHV

6HH WKH OLVW XQGHU 1RWLILFDWLRQ RI 'LUHFWRU\ ,QIRUPDW
GLVFORVXUHV WKDW WKH &ROOHJH PD\ PDNH ZLWKRXW FRQV

3DUHQWV RU HOLJLEOH VWXGHQWV KDYH WKH ULJKW WR ILOH I
(GXFDWLRQ FRQFHUQLQJ DOOHJHG IDLOXUHV E\ WKH &RPPXQL

&RPPXQLW\ &ROOHJH WR FRPSO\ ZLWK WKH UHTXLUHPHQWV RI
2IILFH WKDW DGPLQLVWHUV)(53\$ LV

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1RWLILFDWLRQ RI 'LVFORVXUH RI 'LUHFWRU\

7KH IHGHUDO ODZ LQFOXGHV SURYLVRQV IRU GLVFORVXUH RI GLU
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- " 'HJUHV +RQRUV DQG RU \$ZDUGV
- " 0RVW UHFHQW HGXFDFWLRQDO LQVWLWXWLRQ DWWHQGHG

1RWLILFDWLRQ RI 'LUHFWRU\ ,QIRUPDWLRQ

&XUHQWO\ HQUROOHG VWXGHQWV KDYH WKH RSSRUWXQLW\ WR ZI
)DPLO\ (GXFDWLRQDO 5LJKWV DQG 3ULYDF\ \$FW \$V VXFK WKH &RO
IRU QRQ GLVFORVXUH RI 'LUHFWRU\ ,QIRUPDWLRQ IURP IRUPHU VW
WKH)(53\$ 'LUHFWRU\ ,QIRUPDWLRQ 2SW RXW IRUP DYDLODEOH DW

KWWS ZZZ QDVKXDFH HGX LPDJHV 3') GLUHFWRU\ LQIR RSW RXW S
UHFHLYHG E\ WKH 5HJLVWUDU¶V 2IILFH

7KH HIIHFWV RI \RXU GHFLVLRQ WR UHTXHVW FRQILGHQWLDO VWDW

" ,I \RX UHVWULFW WKH UHOHDVH RI GLUHFWRU\ LQIRUPDWLRQ
'HDQ¶V 3UHVVLGHQW¶V /LVW RU *UDGXDWLRQ +RQRUV /LVW UHC

" ,QIRUPDWLRQ WKDW \RX DUH KHUH DV D VWXGHQW ZLOO EH VX
3URVSHFWLYH (PSOR\HU HWF LQTXLUH DERXW \RX WKH UHT

2QFH \RX KDYH GHVLJQDWHG D FRQILGHQWLDO FODVVLILFDWLRQ I
VLJQHGX DXWKRULJDWLRQ UHTXHVWLQJ LWV UHPRYDO

6HH WKH OLVW EHORZ RI WKH GLVFORVXUHV WKDW WKH &ROOHJH I

)(53\$ SHUPLWV WKH GLVFORVXUH RI 3,, IURP VWXGHQWV¶ HGXFDW
VWXGHQW LI WKH GLVFORVXUH PHHWV FHUWDLQ FRQGLWLRQV IRX
IRU GLVFORVXUHV WR VFKRRO RIILFLDOV GLVFORVXUHV UHODWHG
VXESRHQDV GLVFORVXUHV RI GLUHFWRU\ LQIRUPDWLRQ DQG GLV
UHJXODWLRQV UHTXLUHV WKH LQVWLWXWLRQ WR UHFRUG WKH GLV
DQG UHYLHZ WKH UHFRUG RI GLVFORVXUHV 7KH &ROOHJH PD\ GLV
ZLWKRXW REWDLQLQJ SULRU ZULWWHQ FRQVHQW RI WKH VWXGHQW

" 7R RWKHU VFKRRO RIILFLDOV LQFOXGLQJ WHDFKHUV ZLWKLQ
1+ 1DVKXD &RPPXQLW\ &ROOHJH ZKRP WKH VFKRRO KDV GHWH
HGXFDWLRQDO LQWHUHVWV 7KLV LQFOXGHV FRQWUDFWRUV F
ZKRP WKH VFKRRO KDV RXWVRXUFHG LQVWLWXWLRQDO VHUYLE
FRQGLWLRQV OLVWHG LQ ¶ IDD%HLPP%W † D

" 7R RIILFLDOV RI DQRWKHU VFKRRO ZKHUH WKH VWXGHQW VHHI
VWXGHQW LV DOUHDG\ HQUROOHG LI WKH GLVFORVXUH LV IRU
HQUROOPHQW RU WUDQVIHU VXEMHFW WR WKH UHTXLUHPHQW

" 7R DXWKRULJHG UHSUHVHQWDWLYHV RI WKH 8 6 &RPSWUROO
WKH 8 6 6HFUHWDU\ RI (GXFDFWLRQ RU 6WDWH DQG ORFDO HG
SRVWVHFRQGDU\ DXWKRULW\ WKDW LV UHVSQRVLEOH IRU VXSS
1+ 1DVKXD &RPPXQLW\ &ROOHJH 6WDWH VXSSRUWHG HGXFDW
SURYLVLVRQ PD\ EH PDGH VXEMHFW WR WKH UHTXLUHPHQWV R
HYDOXDWLRQ RI)HGHUO RU 6WDWH VXSSRUWHG HGXFDWLRQ
SURJUDPV RU IRU WKH HQIRUFHPHQW RI RU FRPSOLDQFH ZLW
UHODWH WR WKRVH SURJUDPV 7KHVH HQWLWLHV PD\ PDNH IX
HQWLWLHV WKDW DUH GHVLJQDWHG E\ WKHP DV WKHLU DXWKR
DXGLW HYDOXDWLRQ RU HQIRUFHPHQW RU FRPSOLDQFH DFW
DQG

" ,Q FRQQHFWRQ ZLWK ILQDQFLDO DLG IRU ZKLFK WKH VWXGHQ
UHFHLYHG LI WKH LQIRUPDWLRQ LV QHFHVVDU\ WR GHWHUPLQ
DPRXQW RI WKH DLG GHWHUPLQH WKH FRQGLWLRQV RI WKH D
FRQGLWLRQV RI WKH DLG † D

- " 7R RUJDQLJDWLRQV FRQGXFWRQJ VWXGLHV IRU RU RQ EHKDOI
YDOLGDWH RU DGPLQLVWHU SUHGLFWLYH WHVWV E DGPLQL
LQVWUXFWLRQ † D
- " 7R DFFUHGLWLQJ RUJDQLJDWLRQV WR FDUU\ RXW WKHLU DFFU
- " 7R SDUHQWV RI DQ HOLJLEOH VWXGHQW LI WKH VWXGHQW LV
† D
- " 7R FRPSO\ ZLWK D MXGLFLDO RUGHU RU ODZIXOO\ LVVXHG VXI
- " 7R DSSURSULDWH RIILFLDOV LQ FRQQHFWLRQ ZLWK D KHDOWK
† † D
- " ,QIRUPDWLRQ WKH VFKRRO KDV GHVLJQDWHG DV GLUHFWRU\
† D
- " 7R D YLFWLP RI DQ DOOHJHG SHUSHWUDWRU RI D FULPH RI YL
VXEMHFW WR WKH UHTXLUHPHQWV RI † 7KH GLVFORVXUH
WKH GLVFLSOLQDU\ SURFHGHQJ ZLWK UHVSHFW WR WKDW DO
ILQGLQJ † D
- " 7R WKH JHQHUDO SXEOLF WKH ILQDO UHVXOWV RI D GLVFLSO
UHTXLUHPHQWV RI † LI WKH VFKRRO GHWHUPLQHV WKH V
FULPH RI YLROHQFH RU QRQ IRUFLEOH VH[RIIHQVH DQG WKH
VFKRRO¶V UXOHV RU SROLFLHV ZLWK UHVSHFW WR WKH DOOH
† D
- " 7R SDUHQWV RI D VWXGHQW UHJDUGLQJ WKH VWXGHQW¶V YLR
RU RI DQ\ UXOH RU SROLF\ RI WKH VFKRRO JRYHUQLQJ WKH X
FRQWUROOHG VXEVDQFH LI WKH VFKRRO GHWHUPLQHV WKH
DQG WKH VWXGHQW LV XQGHU WKH DJH RI † D

6RORPRQ \$PHQGPHQW

7KH 6RORPRQ \$PHQGPHQW UHTXLUHV LQVWLWXWLRQV WR SURYLGH
OHDVW \HDUV RI DJH XSRQ UHTXHVW RI UHSUHVHQWDWLYHV RI
UHFUXLWLQJ SXUSRVHV 7KH LQIRUPDWLRQ WR SURYLGH LQFOXGH
GDWH DQG SODFH RI ELUWK OHYHOV RI HGXFDWLRQ DFDGHPLF P
UHFHQW HGXFDWLRQDO LQVWLWXWLRQ HQUROOHG LQ E\ WKH VWX
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, ,QWURGXFWRQ

\$ 3ROLF\ 6WDWHPHQW

\$ VWXGHQW¶V FRQLQXDQFH DW DQ\ FROOHJH LQ WKH &RPPXQL
&&61+ GHSHQGV QRW RQO\ XSRQ KLV RU KHU DFDGHPLF SHUIR
\$ FROOHJH¶V MXULVGLFWLRQ DQG GLVFLSOLQH VKDOO EH OLPLV

WKH FROOHJH FRPPXQLW\ DQG RU WKH SXUVXLW RI LWV REMHF

,,6WXGHQW &RGH RI &RQGXFV

\$ 6FRSH

7KH VWXGHQW FRGH RI FRQGXFV DSSOLHV WR DQ\ SHUVRQ UHJLV
SURJUDP RIIHUG E\ DQ\ &&61+ FROOHJH LQFOXGLQJ WKRVH ZKR
SDUWLFXODU WHUP EXW ZKR KDYH D FRQWLQXLQJ UHODWLRQV KLS
DQG GLVFLSOLQH VKDOO EH OLPLWHG WR YLRODWLRQV RI WKH 6V
&RQGXFV SURKLELWV DFWLYLWLHV WKDW GLUHFWO\ DQG VLJQLIL
HGXFDFWLRQDO UHVSQRVLELOLW\ RI HQVXULQJ WKH RSSRUWXQLW
HGXFDFWLRQDO REMHFWLYHV RU VXEVLGLDU\ UHVSQRVLELOLW
SHUVRQV LQ WKH FDPSXV FRPPXQLW\ PDLQWDLQLQJ DQG SURWHI
OLYLQJ DFFRPPRGDWLRQV DQG RWKHU VHUULFHV DQG VSRQVRU
OHFWXUHV FRQFHUWV DWKOHWLF HYHQWV DQG VRFLDO IXQFWL
FROOHJH SUHPLVHV RU LQVLGH RU RXWVLGH RI WKH FODVVURRP
IRUELGGHQ

%

5HPHPEHU WKDW QRW HYHU\RQH LV DIIHFWHG HTXDOO\ E\ &2
&29,' KHDOWK JXLGHOLQH , ZLOO KHOS WKRVH ZKR DUH I
7KLV YLUXV GRHV QRW GLVFULPLQDWH DQG QHLWKHU ZLOO

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WKH FROOHJH KDV UHVLGHQFH KDOOV WZR RI WKH VWX
\$ WRWDO RI ILYH DOWHUQDWH YRWLQJ PHPEHUV WR EH V
D7ZR IDFXOW\ RU VWDII VHOHFWHG E\ WKH FROOHJH SUH
RIILFLDO V
E7KUHH VWXGHQWV VHOHFWHG E\ WKH 6WXGHQW 6HQDWH
RQH IURP WKH UHVLGHQFH KDOOV LI DSSOLFDEOH DQG
7KH DGYLVRU WR WKH -XGLFLDO &RPPLWWHH ZLOO EH WKH ->
WKH 9LFH 3UHVLGHQW RI 6WXGHQW \$IIDLUV

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\$ PLQLPXP RI ILYH PHPEHUV LQFOXGLQJ WKH &KDLU VKDOO
KHDULQJ ,I ILYH DSSRLQWHG PHPEHUV DUH QRW DYDLODEOH G
DGGLWLRQDO PHPEHUV VKDOO EH VHOHFWHG E\ WKH -XGLFLDO
VHW IRUWK LQ SDUDJUDSK E EHORZ
'XULQJ WKH VXPPHU RU YDFDWLRQV D PHHWLQJ PD\ EH FDOOH
IROORZV

D5HJXODU PHPEHUV ZLOO VHUYH LI DYDLODEOH RU
E ,Q WKH HYHQW VXIILFLHQW UHJXODU PHPEHUV DUH QRW DYD
DGGLWLRQDO PHPEHUV DV QHFHVVDU\ WR UHDFK D TXRUXP D
WKH RULJLQDO UHSUHVHQWDWLRQ RI WKH FRPPLWWHH
,I WKH FRPSODLQW KDV EHHQ EURXJKW E\ D PHPEHU RI WKH -X
3UHVLGHQW RI 6WXGHQW \$IIDLUV KH VKH VKDOO UHFXVH KLP K
GHOLEHUDWLRQV DQG YRWLQJ

+HDULQJV VKDOO EH FRQGXFWHG E\ WKH -XGLFLDO &RPPLWWHH
D7KH SDUWLHV VKDOO UHFHLYH ZULWWHQ DGYDQFH QRWLILFD
VHW IRUWK LQ WKH FRPSODLQW WKH WLPH GDWH DQG SOD
E7KH 5HVSRRGHQW PD\ UHPDLQ VLOHQW RU VXEPLW RQO\ D Z
WKH FRPSODLQW

F +HDULQJV QRUPDOO\ VKDOO EH FRQGXFWHG LQ SULDYDWH \$
SHUVRQ QRW GLUHFWO\ LQYROYHG ZLWK WKH SURFHGHGLQJV
FKDLUSHUVRQ RI WKH -XGLFLDO &RPPLWWHH

G:KHQ PRUH WKDQ RQH LQGLYLGXDO LV FKDUJHG ZLWK WKH V
VKDOO KDYH WKH ULJKW WR DQ LQGLYLGXDO KHDULQJ 2WKH
H7KH &RPSODLQDQW DQG WKH 5HVSRRGHQW KDYH WKH ULJKW
WKH\ FKRRVH 7KH &RPSODLQDQW DQG RU WKH 5HVSRRGHQW
SUHVHQWLQJ KLV RU KHU RZQ FDVH DQG WKHUHIRUH DGYR
SDUWLFLSDWH GLUHFWO\ LQ DQ\ KHDULQJ EHIRUH WKH -XGL
I 7KH &RPSODLQDQW WKH 5HVSRRGHQW DQG WKH -XGLFLDO &
ZLWQHVVHV 7KH\ DOVR KDYH WKH ULJKW WR SUHVHQW SHUV
VWDWHPHQWV IRU FRQVLGHUDWLRQ E\ WKH -XGLFLDO &RPPL
&RPSODLQDQW WKH 5HVSRRGHQW DQG ZLWQHVVHV ZLOO EH
&RPPLWWHH

J ,Q FHUWDLQ FDVHV ZKHQ D FRPSODLQW KDV EHHQ EURXJKW
XQDEOH RU XQZLOOLQJ WR SUHVHQW WKH FDVH WKH FROOH
SUHVHQW WKH FDVH ,Q WKHVH LQVWDQFHV D PHPEHU RI WK
LQYHVWLJDWRU ZLOO FRQVXOW ZLWK WKH -XGLFLDO &RPPL
VHUYLQJ LQ WKLV UROH

K \$OO SURFHGXUDO TXHVWLRQV DUH VXEMHFW WR ILQDO GHFL
&RPPLWWHH

L \$IWHU WKH KHDULQJ WKH -XGLFLDO &RPPLWWHH VKDOO GH
SUHVHQWHG DW WKH KHDULQJ E\ PDMRULW\ YRWH ZKHWKH
WKH 6WXGHQW &RGH RI &RQGXFV 7KH FKDLUSHUVRQ ZLOO Y

M 7KH -XGLFLDO &RPPLWWHH\ V GHWHUPLQDWLRQ VKDOO EH I
PRUH OLNHO\ WKDQ QRW WKDW WKH 5HVSRQGHQW FRPPLWW
YLRODWHG WKH 6WXGHQW &RGH RI &RQGXFW
N 7KH -XGLFLDO &RPPLWWHH ZLOO LVVXH D UHSRUW RI LWV I
WKH -XGLFLDO \$GYLVRU ZLWKLQ WKUHH EXVLQHV GD\ V R
WKH PDWWHU
O 7KH -XGLFLDO \$GYLVRU ZLOO SURYLGH ZULWWHQ QRWLILFD
&RPSODLQDQW DQG WKH 5HVSRQGHQW 6WXGHQW DQG DGPLQ
EDVLV 7KH RULJLQDO ZLOO EH ZLWK WKH FROOHJH\ V MXGLF
7KH -XGLFLDO \$GYLVRU VKDOO EH UHVSQRVLEOH IRU PDNLQJ D
VLQJOH UHFRUG ZKHWKHU ZULWWHQ PLQXWHV DXGLRWDSH RU
-XGLFLDO &RPPLWWHH 7KH UHFRUG VKDOO EH WKH SURSHU\
E\ WKH SDUWLHV RU FROOHJH RIILFLDO XSRQ SURYLGHQJ D ZUL
([FHSW LQ WKH FDVH RI D VWXGHQW FKDUJHG ZLWK IDLQJ W
&RPPLWWHH -XGLFLDO %RG\ RU FROOHJH RIILFLDO QR VWXGH
6WXGHQW &RGH RI &RQGXFW VROHO\ EHFDXVH WKH VWXGHQW I
RU -XGLFLDO &RPPLWWHH ,Q DOO FDVHV WKH HYLGHQFH LQ V
DQG FRQVLGHUHG
7KH GHFLVLRQ RI WKH -XGLFLDO &RPPLWWHH LV ILQDO DQG LV

9 6WXGHQW 5LJKWV

\$ 6WXGHQWV LQ WKH &ODVVURRP

7KH FODVVURRP HQYLURQPHQW VKRXOG HQFRXUDJH IUHH GLVFXV
SHUIRUPDQFH PXVW EH HYDOXDWHG RQ WKH EDVLV RI DFDGHPLF
VWXGHQWV DUH UHVSQRVLEOH IRU PDLQWDLQLQJ VWDQGDUGV RI
HDFK FRXUVH LQ ZKLFK WKH\ DUH HQUROOHG
6WXGHQWV DUH UHVSQRVLEOH IRU OHDUQLQJ WKH FRQWHQW DQ
FRXUVH RI VWXG\ EXW LQ VR GRLQJ WKH\ KDYH WKH ULJKW WR
YLHZV SUHVHQWHG LQ FODVV DQG WKH\ DUH UHVSQRVLEOH IRU

IRU ZKLFK WKH\ DUH HQUROOHG ,QIRUPDWLRQ DERXW WKH SHUV
DVVRFLDWLRQV RI VWXGHQWV ZKLFK LQVWUXFWURV DGYLVRUV D
VKRXOG EH FRQVLGHUHG FRQILGHQWLDO

% 6WXGHQW)UHHGRP 2II &DPSXV

6WXGHQWV DUH ERWK FLWLJHQV DQG PHPEHUV RI DQ DFDGHPLF F
VSHHFK SHDFHIXO DVVHPEO\ DQG SHWLWLRQ \$GPLQLVWUDWLYH
HPSOR\ LQVWLWXWLRQDO SRZHUV WR LQKLELW WKH LQWHOOFW
SURPRWHG WKURXJK WKH H[HUFLVH RI FLWLJHQV KLS ULJKWV RQ
:KHUH DFWLYLWLHV RI VWXGHQWV RII FDPSXV UHVXOW LQ WKH Y
LQYHVWLJDWRUV WKH FROOHJHV VKRXOG

1RW GXSOLFDPH WKH IXQFWLRQ RI JHQHUDO ODZV XQWLO W
FRPPXQLW\ DUH GLVWLQFWO\ DQG FOHDUO\ LQYROYHG

1RW VXEMLQJWKHEDWGRQWHWR @p AUHEDW @- SHQ DGEU @-DKA

&)UHHGRP RI \$VVRFLDWLRQ

6WXGHQWV VKRXOG EH IUHH WR RUJDQL]H DQG MRLQ DVVRFLDWLRQ
\$IILOLDWLRQV ZLWK DQ H[WHUQDO RUJDQL]DWLRQ VKRXOG QRW R
LQVWLWXWLRQDO UHFRJQLWLRQ 6WXGHQW RUJDQL]DWLRQV PXVV
PHPEHUVKLS UXOHV RI SURFHGXUHV DQG D FXUUHQW OLVW RI R
RSHQ WR DOO VWXGHQWV ZLWKRXW UHVSHFW WR UDFH FUHHG R
ZKLFK PD\ EH UHTXLUHG E\ RUJDQL]DWLRQV ZKRVH DLPV DUH SUL

')UHHGRP RI ,QTXLU\ DQG ([SUHVVLQR

6WXGHQWV DQG VWXGHQW RUJDQL]DWLRQV VKDOO EH IUHH WR H[
WR WKHP DQG WR H[SUHV V RSLQLRQV SXEOLFO\ DQG SULYDWHO\
WKDW LQ WKHLU SXEOLF H[SUHVVLRQV RU GHPRQVUDWLRQV VW
WKHPVHOYHV DQG QRW IRU WKH FROOHJH &&61+ LWV ERDUG RI

6WXGHQWV VKRXOG EH DOORZHG WR LQYLWH DQG WR KH DU DQ\
SURFHGXUHV UHTXLUHG E\ D FROOHJH EHIRUH D JXHVV VSHDNHU
GHVLJQH RQO\ WR HQVXUH WKDW WKHUH LV RUGHUO\ VFKHGXOL
WKH HYHQW DQG WKDW WKH RFFDVLRQ LV FRQGXFWHG LQ D PDQ
7KH LQVWLWXWLRQDO FRQWURO RI FDPSXV IDFLOLWLHV VKRXOG
EH PDGH FOHDU WR WKH DFDGHPLF DQG ODUJHU FRPPXQLW\ WKD
JXHVV VSHDNHUV GRHV QRW QHFHVVDULO\ LPSO\ DSSURYDO RU F

,QYHVWLJDWLRQ RI 6WXGHQW &RQGXF

WKH &ROOHJH 3UHVLGHQW VKDOO EH DFFRPSDQLHG E\ D UHFRPPHQ
DFWLRQ GHWHUPLQH DFFRUGLQJ WR WKH WRWDOLW\ RI WKH FLUF
,Q PDLQJ D UHFRPPHQGDWLRQ IRU FRUHFWLYH DQG RU GLVFLSO
EXW QRW OLPLW WKHPVHOYHV WR WKH IROORZLQJ IDFWRUV

- " WKH VHYHULW\ RI WKH RIIHQVH
- " WKH IUHTXHQF\ DQG GXUDWLRQ RI WKH SURKLELWHG FRQG
- " WKH H[WHQW WR ZKLFK WKH PLVFRQGXFW KRZHYHU PLQRU
FDPSXV HQYLURQPHQW IRU WKH JULHYDQW RU RWKHUZLVH
RU MRE SHUIRUPDQFH IRU WKH JULHYDQW

7KH &ROOHJH 3UHVLGHQW ZLOO WDNH WKH UHSRUW FoP3q 'È fðu p0 Á

